

# Alberta Weightlifting Association SAFE SPORT POLICIES

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#### ALBERTA WEIGHTLIFTING ASSOCIATION

## **Statement on Safe Sport**

Alberta Weightlifting Association ("AWA") has a fundamental obligation and responsibility to protect the health, safety and physical and mental well-being of every individual that is involved in the Canadian Weightlifting community.

AWA takes situations involving misconduct or maltreatment very seriously. For this reason, AWA is committed to enacting and enforcing strong, clear, and effective policies and processes for preventing and addressing all forms of misconduct or maltreatment.

AWA's policies are intended to promote a Safe Sport environment in a manner that allows for consistent, immediate, appropriate, and meaningful action should any issues arise. They are also intended to prevent issues from arising in the first place by communicating expected standards of behaviour.

Should anyone involved with AWA, including but not limited to Athletes, coaches, officials, volunteers, and parents/guardians of Athletes, wish to report any instance of misconduct or maltreatment, they may do so directly to AWA, which will then determine the appropriate forum and manner to address the complaint in accordance with the applicable policy.

AWA makes the following commitments to a sport environment free from Maltreatment:

- a) All Organizational Participants in sport can expect to play, practice, and compete, work, and interact in an environment free from Maltreatment.
- b) Addressing the causes and consequences of Maltreatment is a collective responsibility and requires the deliberate efforts of all Organizational Participants, sport community, sport club administrators and organization leaders.
- Organizational Participants in positions of trust and authority have the general responsibility to protect the health and well-being of all other Organizational Participants.

- d) Adult Organizational Participants have a specific ethical and statutory duty and the additional responsibility to respond to incidents of Maltreatment involving Minors and other Vulnerable Organizational Participants.
- e) All Organizational Participants recognize that Maltreatment can occur regardless of age, sex, sexual orientation, gender identity or expression, race, ethnicity, Indigenous status, or level of physical and intellectual disability and their intersections. Moreover, it is recognized that those from traditionally marginalized groups have increased vulnerability to experiences of Maltreatment.
- f) All Organizational Participants recognize that individuals who have experienced Maltreatment may experience a range of effects that may emerge at different time points and that can profoundly affect their lives.
- g) All adults working with children and youth have a duty to prevent or mitigate opportunities for misconduct.
- h) Organizational Participants affiliated with the Canadian Weightlifting Federation will complete appropriate mandatory training on preventing and addressing harassment and abuse.
- i) In recognition of the historic vulnerability to discrimination and violence amongst some groups, and that continues to persist today, Organizational Participants in positions of trust and authority have a duty to incorporate strategies to recognize systemic bias, unconscious bias, and to respond quickly and effectively to discriminatory practices.

#### **Definitions**

Terms in this Policy are defined as follows:

- a) **Affected Party** Any individual or entity, as determined by the Appeal Manager, who may be affected by a decision rendered under the *Appeal Policy* and who may have recourse to an appeal in their own right.
- b) **Appeal Manager** An individual, who may be any staff member, committee member, volunteer, Director, or an independent third party, who is appointed to oversee the Appeal Policy. The Appeal Manager will have responsibilities that include using decision making authority empowered by the *Appeal Policy*.
- c) Appellant The Party appealing a decision.
- d) **Athlete** An individual who is an Athlete Participant in AWA who is subject to the policies of AWA and to the *Code of Conduct and Ethics*.
- e) **Athlete Support Personnel** Any coach, trainer, manager, agent, team staff, official, medical, paramedical personnel, parent, or any other person working with, treating or assisting an Athlete participating in or preparing for sports competition.
- f) **Bullying** Offensive behaviour and/or abusive treatment of an Organizational Participant that typically, but not always, involves an abuse of power.
- g) *Canadian Anti-Doping Program (CADP)* The CADP governs doping control in Canada. The CADP can be viewed <u>here</u>. The 2021 CADP came into effect on January 1, 2021.
- h) Canadian Centre for Ethics in Sport (CCES) The CCES is an independent, national, not-for-profit organization responsible for administering Canada's Anti-Doping Program and the World Anti-Doping Code in Canada.
- i) **Commercial Activity** Any particular transaction, act or conduct that is of a commercial character.
- j) Complainant An Organizational Participant who makes a report of an incident, or a suspected incident, of alleged Maltreatment, Prohibited Behaviour or other misconduct that may be a violation of the standards described in AWA's policies, by-laws, rules or regulations, or the UCCMS.
- k) *Criminal Record Check (CRC)* A search of the RCMP Canadian Police Information Centre (CPIC) system for adult convictions
- I) **Days** calendar days<sup>1</sup>

<sup>&</sup>lt;sup>1</sup> For the purpose of calculating deadlines, the following shall apply: the day of the act is not included in the calculation (i.e., the date of receipt of a decision is not Day 1); instead, the deadline would start on the day following receipt of the decision and would expire at midnight (in the location of the individual seeking to file an appeal) on the last day of the period. If the

- m) *Director of Sanctions and Outcomes* Responsible for overseeing the imposition of Provisional Measures, agreed outcomes, Sanctions and appearing before the Safeguarding Tribunal or the Appeal Tribunal in cases arising from a potential breach of the UCCMS (or other conduct rules, as applicable)
- n) **Diversity** the presence and integration of a variety of individuals with different personal characteristics, particularly Under-Represented Groups, in a group or organization
- o) **Enhanced Police Information Check (E-PIC)** a Criminal Record Check plus a search of Local Police Information.
- p) **Equity** fairness afforded to individuals with diverse personal characteristics regardless of those characteristics.
- q) Event an event sanctioned by AWA or a Member, and which may include a social event.
- r) **External Discipline Panel** A Panel of one or three people who are appointed by the Independent Third Party to decide on complaints that are assessed under Process #2 of this Policy.
- s) *Harass* or *Harassment* A course of vexatious comments or conduct against an Organizational Participant or group, which is known or ought reasonably to be known to be unwelcome.
- t) *Inclusion* Acceptance of individuals with diverse personal characteristics into a group or organization regardless of those characteristics
- u) *Independent Third Party* the individual retained by AWA to receive reports and complaints, and to fulfill the responsibilities outlined in the *Discipline and Complaints Policy, Investigations Policy* and *Appeal Policy*, as applicable. This individual must not be in a real or perceived conflict of interest or have a direct relationship with any of the Parties.
- v) Internal Discipline Chair An individual appointed by AWA to decide on complaints that are assessed under Process #1 of this Policy. The Internal Discipline Chair may be a Director, head coach, staff member, or other individual affiliated with AWA but must not be in a conflict of interest or have a direct relationship with any of the Parties.
- w) *IP Address* A numerical label that is assigned to electronic devices participating in a computer network that uses internet protocol for communication between devices.
- x) **Local Police Information (LPI)** Additional conviction and selected non-conviction information in national and local police data sources which may be relevant to the position sought.

end date is a Saturday, a Sunday or a legal holiday, the period runs until the next day that is not a Saturday, a Sunday or a legal holiday. For example, if an Individual receives a decision on Thursday December 17, 2020, the 14-day deadline to appeal this decision starts on Friday December 18, 2020 and would expire on Friday January 1, 2021. However, since January 1, 2021, is a legal holiday, January 2, 2021 is a Saturday, and January 3, 2021 is a Sunday, the deadline to appeal would expire at midnight (in the location of the individual seeking to file an appeal) on January 4, 2021.

- y) **Maltreatment** as defined in the UCCMS.
- z) **Minor Athlete(s)** An individual who is an Athlete Participant in AWA who is subject to the policies of AWA and to this Code who is under the age of 18.
- aa) *Organizational Participant(s)* Refers to all categories of individual members and/or registrants defined in the By-laws of AWA who are subject to the policies, rules and regulations of AWA, as well as all persons employed by, contracted by, or engaged in activities with, AWA including, but not limited to, employees, contractors, Athletes, coaches, instructors, officials, volunteers, judges, Athlete Support Personnel, managers, administrators, committee members, parents or guardians, spectators, or directors and officers.
- bb) **OSIC** Office of the Sport Integrity Commissioner, an independent division of the Sport Dispute Resolution Centre of Canada ("SDRCC") which comprises the functions of the Sport Integrity Commissioner
- cc) Parties the individuals involved in a dispute.
- dd) **Person in Authority** Any Organizational Participant who holds a position of authority within AWA including, but not limited to, coaches, instructors, officials, managers, athlete support personnel, chaperones, committee members, or directors and officers.
- ee) **Personal Information** any information about an individual that relates to the person's personal characteristics including, but not limited to: gender, age, income, home address or phone number, ethnic background, family status, health history, and health conditions
- ff) **Power Imbalance** as defined in the *UCCMS*.
- gg) **Provisional Suspension** means that the Organizational Participant is barred temporarily from participating in in any capacity in any Event or activity of AWA and its Members, or as otherwise decided pursuant to the *Discipline and Complaint Policy*, prior to the decision rendered in a hearing conducted pursuant to this Policy.
- hh) *Representatives* Members, directors, officers, committee members, employees, athletes, coaches, officials, sport assistants, managers, trainers, volunteers, administrators, contractors and participants within AWA
- ii) **Respondent** The Party responding to the complaint.
- jj) Social Media The catch-all term that is applied broadly to new computer-mediated communication media such as blogs, YouTube, Facebook, Instagram, Tumblr, TikTok, Snapchat, and Twitter.
- kk) **UCCMS** Universal Code of Conduct to prevent and address Maltreatment in Sport, as amended from time to time by the SDRCC.
- II) UCCMS Participant Individual affiliated with a Program Signatory as designated by the

Program Signatory and who has signed the required consent form. UCCMS Participants may include an athlete, a coach, an official, an athlete support personnel, an employee, a contractual worker, an administrator, or a volunteer acting on behalf of, or representing the Program Signatory in any capacity.

- mm) *Under-Represented Groups* Under-Represented Groups include women, individuals who identify as Black, Indigenous, or people of colour (BIPOC), children in low-income families, seniors, people with disabilities, newcomers to Canada, and members of the LGBTQ community
- nn) Vulnerable Participant or Vulnerable Organizational Participant as defined in the UCCMS.
- oo) *Vulnerable Sector Check (VSC)* A detailed check that includes a search of the RCMP Canadian Police Information Centre (CPIC) system, Local Police Information, and the Pardoned Sex Offender database.
- pp) **Worker** Any person who performs work for AWA including employees, managers, supervisors, temporary workers, volunteers, student volunteers, part-time workers, the Board of Directors, and independent contractor
- qq) **Workplace** Any place where business or work-related activities are conducted. Workplaces include but are not limited to, the registered office(s), work-related social functions, work assignments outside the registered office(s), work-related travel, the training and competition environment, and work-related conferences or training sessions.
- rr) Workplace Harassment A course of vexatious comment or conduct against an Organizational Participant in a Workplace that is known or ought reasonably to be known to be unwelcome. Workplace Harassment should not be confused with legitimate, reasonable management actions that are part of the normal work/training function, including measures to correct performance deficiencies, such as placing someone on a performance improvement plan, or imposing discipline for workplace infractions.
- ss) Workplace Violence The use of or threat of physical force by a person against a worker in a Workplace that causes or could cause physical injury to the worker; an attempt to exercise physical force against a worker in a Workplace that could cause physical injury to the worker; or a statement or behaviour that it is reasonable for a worker to interpret as a threat to exercise physical force against the worker in a Workplace that could cause physical injury to the worker.
- tt) World Anti-Doping Agency (WADA) An independent, international, not-for-profit organization responsible for administering the World Anti-Doping Code and the promotion of clean sport internationally.
- uu) **World Anti-Doping Code (WADC)** Set of rules that govern doping control internationally. The full policy can be viewed <u>here</u>.

# **Code of Conduct and Ethics**

AWA recognizes the development of the <u>Universal Code of Conduct to Prevent and Address Maltreatment in Sport</u> (UCCMS). Although the UCCMS is only mandatory for federally funded sport organizations, AWA is aligning its standards for behaviour to this national conduct standard by adopting the UCCMS. The adoption of the UCCMS is reflected in this Code of Conduct and Ethics (the "Code").

#### **Purpose**

- 1. The purpose of the Code is to ensure a safe and positive environment within the programs, activities, and events of AWA by making all Organizational Participants aware that there is an expectation, at all times, of appropriate behaviour consistent with AWA's core values, mission and policies.
- 2. AWA and its Organizational Participants support equal opportunity, prohibit discriminatory practices, and are committed to providing an environment in which all Organizational Participants can safely participate in sport and are treated with respect and fairness.

# **Application of this Code**

- 3. The Code applies to any Organizational Participant's conduct during the business, activities, and events of AWA including, but not limited to competitions, practices, evaluations, treatment, or consultations (i.e., massage therapy), training camps, travel associated with organizational activities, the office environment, and any meetings.
- 4. The Code also applies to Organizational Participants' conduct outside of the business, activities, and events of AWA when such conduct adversely affects AWA's relationships (and the work and sport environment) or is detrimental to the image and reputation of AWA. Such applicability will be determined by AWA at its sole discretion.
- 5. In addition, this Policy will apply to breaches of the Code that occurred when the Organizational Participants involved interacted due to their mutual involvement in the sport or, if the breach occurred outside of the sport environment, if the breach has a serious and detrimental impact on the Individual(s).
- 6. This Code applies to Organizational Participants active in the sport or who have retired from the sport where any claim regarding a potential breach of the Code occurred when the Organizational Participants were active in the sport.

#### **Prohibited Behaviours**

- 7. All Organizational Participants must refrain from any behaviour that constitutes a Prohibited Behaviour as defined by the UCCMS and the Code.
- 8. Organizational Participants are responsible for knowing what actions or behaviours constitute Prohibited Behaviours and Maltreatment.

- 9. Prohibited Behaviours under the UCCMS include, but are not limited to:
  - a) Physical Maltreatment

h) Failing to Report

b) Psychological Maltreatment

i) Aiding and Abetting

c) Neglect

i) Retaliation

d) Sexual Maltreatment

 k) Interference with or Manipulation of Process

e) Grooming

I) False Reports

- f) Boundary Transgressions
- g) Discrimination

In addition to the Prohibited Behaviours as defined by the UCCMS in Appendix "A", this Code sets out other expected standards of behaviour and conduct for all Organizational Participants and any failure to respect these expected standards of behaviour by an Organizational Participants may constitute a breach of this Code. In addition, the following behaviours also constitute breaches of this Code:

- a) Bullying
- b) Harassment
- c) Workplace Harassment
- d) Workplace Violence

# Responsibilities

- 10. All Organizational Participants have a responsibility to:
  - a) Conduct themselves in a manner consistent with the True Sport principles.
  - b) Refrain from any behaviour that constitutes Maltreatment and Prohibited Behaviour under the Code, the UCCMS and other conduct policies established by AWA.
  - c) Maintain and enhance the dignity and self-esteem of other Organizational Participants by:
    - Treating each other with the highest standards of respect and integrity;
    - ii. Focusing comments or criticism appropriately and avoiding public criticism of Athletes, coaches, officials, organizers, volunteers, employees, or other Organizational Participants;
    - iii. Consistently demonstrating the spirit of sportsmanship, sport leadership, and ethical conduct;
    - iv. Ensuring adherence to the rules of the sport and the spirit of those rules.

- d) Refrain from the use of power or authority to coerce another person to engage in inappropriate activities.
- e) Refrain from consuming tobacco products, cannabis, or recreational drugs while competing in the programs, activities, competitions, or Events of AWA.
- f) In the case of Minors, do not consume alcohol, tobacco, or cannabis at any competition or Event.
- g) In the case of Organizational Participants who are not Minors, not consume cannabis in the Workplace or in any situation associated with the Events of AWA (subject to protections under applicable human rights legislation), not consume alcohol during training, competitions, or in situations where Minors are present, and take reasonable steps to manage the responsible consumption of alcohol in adult-oriented social situations
- h) Respect the property of others and not wilfully cause damage.
- i) Promote sport in the most constructive and positive manner possible.
- j) Refrain from engaging in deliberate behaviour which is intended to manipulate the outcome of a para-classification and/or not offer, receive or refrain from offering or receiving any benefit which is intended to manipulate a para-classification. A benefit includes the direct or indirect receipt of money or other anything else of value, including, but not limited to, bribes, gains, gifts, preferential treatment, and other advantages.
- k) Refrain from engaging in deliberate behaviour which is intended to manipulate the outcome of a competition and/or not offer, receive or refrain from offering or receiving any benefit which is intended to manipulate the outcome of a competition. A benefit includes the direct or indirect receipt of money or other anything else of value, including, but not limited to, bribes, gains, gifts, preferential treatment, and other advantages.
- I) Adhere to all applicable federal, provincial/territorial, municipal and host country laws.
- m) Comply, at all times, with the By-laws, policies, procedures, and rules and regulations of AWA, as applicable and as adopted and amended from time to time.

#### **Directors, Committee Members, and Staff**

- 11. In addition to section 10 (above), Directors, Committee Members, and staff of AWA have additional responsibilities to:
  - a) Function primarily as a Director, committee member or staff member of AWA (as applicable) and ensure to prioritize their loyalty to AWA while acting in this role.
  - b) Act with honesty and integrity and conduct themselves in a manner consistent with the nature and responsibilities of the business and the maintenance of an Organizational Participant's confidence.
  - c) Ensure that financial affairs are conducted in a responsible and transparent manner with due regard for all fiduciary responsibilities.

- d) Comply with their obligations under the Screening Policy, including understanding ongoing expectations under the Screening Policy and fully cooperating in the screening process
- e) Conduct themselves openly, professionally, lawfully and in good faith.
- f) Be independent and impartial and not be influenced by self-interest, outside pressure, expectation of reward, or fear of criticism influence their decision-making on behalf of AWA.
- g) Exercise the degree of care, diligence, and skill required in the performance of their duties pursuant to applicable laws.
- h) Maintain required confidentiality of organizational information.
- i) Commit the time to attend meetings and be diligent in preparation for, and participation in, discussions at such meetings.
- j) Have a thorough knowledge and understanding of all governance documents.

# **Athlete Support Personnel**

- 12. In addition to section 10 (above), Athlete Support Personnel have many additional responsibilities.
- 13. Athlete Support Personnel must understand and respect the inherent Power Imbalance that exists in this relationship and must not abuse it, either consciously or unconsciously.
- 14. Athlete Support Personnel will:
  - a) Avoid any behaviour that abuses the Power Imbalance inherent in the coaching position of the Athlete Support Personnel.
  - b) Ensure a safe environment by selecting activities and establishing controls that are suitable for the age, experience, ability, and fitness level of the Athletes.
  - c) Prepare Athletes systematically and progressively, using appropriate time frames and monitoring physical and psychological adjustments while refraining from using training methods or techniques that may harm Athletes.
  - d) Avoid compromising the present and future health of Athletes by communicating and cooperating with sport medicine professionals in the diagnosis, treatment, and management of Athletes' medical and psychological treatments.
  - e) Support the Athlete Support Personnel of a training camp, provincial/territorial team, or national team should an Athlete qualify for participation with one of these programs.
  - f) Comply with all established responsibilities and obligations as set out by the Athlete Support Personnel's professional governing association or order, if any.

- g) Accept and promote Athletes' personal goals and refer Athletes to other coaches and sport specialists as appropriate.
- h) Provide Athletes (and the parents/guardians of Minor Athletes) with the information necessary to be involved in the decisions that affect the Athlete.
- i) Act in the best interest of the Athlete's development as a whole person.
- j) Comply with their obligations under the *Screening Policy*, including understanding ongoing expectations under this Policy and fully cooperating in the screening process.
- k) Under no circumstances provide, promote, or condone the use of drugs (other than properly prescribed medications) or prohibited substances or prohibited methods and, in the case of Minors, alcohol, cannabis, and/or tobacco.
- Respect competitor Athletes and, in dealings with them, not encroach upon topics or take actions which are deemed to be within the realm of 'coaching', unless after first receiving approval from the coaches who are responsible for the Athletes.
- m) When a Power Imbalance exists, not engage in a sexual or intimate relationship with an Athlete of any age.
- n) Avoid compromising the present and future health of Athletes by communicating and cooperating with sport science and sport medicine professionals in the diagnosis, treatment, and management of Athletes' medical and psychological treatments, including when discussing optimal nutritional strategies or weight control methods for all Athletes.
- o) Recognize the power inherent in the position of Athlete Support Personnel and respect and promote the rights of all Organizational Participants in sport. This is accomplished by establishing and following procedures for confidentiality (right to privacy), informed participation, and fair and reasonable treatment. Coaches have a special responsibility to respect and promote the rights of Organizational Participants who are in a vulnerable or dependent position and less able to protect their own rights.
- p) Dress professionally and use appropriate language, taking into account the audience being addressed (e.g., the age/maturity of the individuals).

#### **Athletes**

- 15. In addition to section 10 (above), Athletes will have additional responsibilities to:
  - a) Follow their athlete agreement (if applicable).
  - b) Report any medical problems in a timely fashion, when such problems may limit their ability to travel, practice, or compete.
  - Participate and appear on-time and prepared to participate to their best abilities in all competitions, practices, training sessions, and evaluations.

- d) Properly represent themselves and not attempt to participate in a competition for which they are not eligible by reason of age, classification, or other reason.
- e) Adhere to any rules and requirements regarding clothing, professionalism, and equipment.
- f) Act in accordance with applicable policies and procedures and, when applicable, additional rules as outlined by Athlete Support Personnel.

#### **Officials**

- **16.** In addition to section 10 (above), officials will have additional responsibilities to:
  - a) Maintain and update their knowledge of the rules and rules changes.
  - b) Not publicly criticize other Organizational Participants.
  - c) Adhere at all times to the rules of their international federation and any other sporting organization that has relevant and applicable authority.
  - d) Place the safety and welfare of competitors, and the fairness of the competition above all else.
  - e) Strive to provide a fair sporting environment, and at no time engage in Maltreatment or Prohibited Behaviour toward any person on the field of play.
  - f) Respect the terms of any agreement that they enter with AWA.
  - g) Work within the boundaries of their position's description while supporting the work of other officials.
  - h) Act as an ambassador of the sport by agreeing to enforce and abide by national and provincial/territorial rules and regulations.
  - i) Take ownership of actions and decisions made while officiating.
  - j) Respect the rights, dignity, and worth of all Organizational Participants.
  - k) Act openly, impartially, professionally, lawfully, and in good faith.
  - l) Be fair, equitable, considerate, independent, honest, and impartial in all dealings with others.
  - m) Respect the confidentiality required by issues of a sensitive nature, which may include discipline processes, appeals, and specific information or data about Organizational Participants.
  - n) Comply with their obligations under the Screening Policy, including understanding ongoing expectations under this Policy and fully cooperating in the screening process.
  - o) Honour all assignments unless unable to do so by virtue of illness or personal emergency, and in these cases inform a supervisor or AWA at the earliest possible time.
  - p) When writing reports, set out the actual facts to the best of their knowledge and recollection.

q) Dress in proper attire for officiating.

# **Parents/Guardians and Spectators**

- 17. In addition to section 10 (above), parents/guardians and spectators at events will:
  - Encourage Athletes to compete within the rules and to resolve conflicts without resorting to hostility or violence.
  - b) Condemn the use of violence in any form.
  - c) Never ridicule an Organizational Participant for making a mistake during a competition or practice.
  - d) Respect the decisions and judgments of officials and encourage Athletes to do the same.
  - e) Support all efforts to remove verbal and physical abuse, coercion, intimidation, and sarcasm.
  - f) Respect and show appreciation to all competitors, and to coaches, officials and other volunteers.
  - g) Never harass Organizational Participants, competitors, coaches, officials, parents/guardians, or other spectators.
  - h) Never encourage, aid, covert up or assist an Athlete in cheating through doping, competition manipulation or other cheating behaviors.

# Anti-Doping<sup>2</sup>

- 18. AWA adopts and adheres to the Canadian Anti-Doping Program. AWA will respect any sanction imposed on an Individual as a result of a breach of the <u>Canadian Anti-Doping Program</u> or any other applicable Anti-Doping Rules.
- 19. All Organizational Participants shall:
  - a) Abstain from the non-medical use of medications or drugs or the Use of Prohibited Substances or Prohibited Methods as listed on the version of the World Anti-Doping Agency's Prohibited List currently in force.
  - b) Refrain from associating with any person for the purpose of coaching, training, competition, instruction, administration, management, athletic development, or supervision, who has been found to have committed an anti-doping rule violation and is serving a period of Ineligibility imposed pursuant to the Canadian Anti-Doping Program or any other applicable anti-doping rules.
  - c) Cooperate with any Anti-Doping Organization that is conducting an investigation into any anti-doping rule violation(s).
  - d) Refrain from any offensive conduct toward a Doping Control official or other individual involved in

<sup>&</sup>lt;sup>2</sup> Any capitalized terms used in this Anti-Doping section shall, unless the context requires otherwise, have the meanings ascribed to them in the Definitions section of the Canadian Anti-Doping Program.

- Doping Control, whether such conduct constitutes Tampering as defined in the Canadian Anti-Doping Program.
- e) All Athlete Support Personnel or other Persons who are Using a Prohibited Substance or Prohibited Method without a valid and acceptable justification shall refrain from providing support to Athletes that fall under AWA's jurisdiction.

# **Retaliation, Retribution or Reprisal**

- 20. It is a breach of the *Code* for any Organizational Participant to engage in any act that threatens or seeks to intimidate another Organizational Participant with the intent of discouraging that Organizational Participant from filing, in good faith, a complaint pursuant to any NSO policy.
- 21. It is also a breach of the *Code* for an Organizational Participant to file a complaint for the purpose of retaliation, retribution or reprisal against any other Organizational Participant.
- 22. Any Organizational Participant found to be in breach of this section shall be liable for the costs related to the disciplinary process required to establish such a breach.

#### **Privacy**

23. The collection, use and disclosure of any personal information pursuant to this Policy is subject to AWA's *Privacy Policy*.

# Appendix A – UCCMS

The UCCMS, as amended from time to time, has been adopted by the AWA. The UCCMS is available <u>here</u> .
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#### **Athlete Protection Policy**

#### **Purpose**

1. This *Athlete Protection Policy* describes how Persons in Authority shall maintain a safe sport environment for all Athletes.

# Interactions between Persons in Authority and Athletes – the 'Rule of Two'

- 2. AWA requires that the 'Rule of Two' be followed for all Persons in Authority who interact with Athletes, to the maximum extent feasible. The 'Rule of Two' is a directive that says that an Athlete must never be alone one-on-one with an unrelated Person in Authority.
- 3. AWA recognizes that fully implementing the 'Rule of Two' may not always be possible in some instances. Consequently, at a minimum, interactions between Persons in Authority and Athletes must respect the following:
  - a) To the maximum extent possible, the training environment should be visible and accessible so that all interactions between Persons in Authority and Athletes are observable.
  - b) Private and one-on-one situations that are not observable by another adult or Athlete should be avoided to the maximum extent possible.
  - c) A Vulnerable Organizational Participant may not be alone under the supervision of a Person in Authority unless prior written permission is obtained from the Vulnerable Organizational Participant's parent or guardian.
  - d) Persons in Authority may not invite or host Vulnerable Organizational Participants in their home without the written permission from parents or guardians or without parents or guardians having contemporaneous knowledge of the visit.

#### **Competitions, Training Sessions and Practices**

- 4. For competitions, training sessions and practices, AWA recommends:
  - A Person in Authority should never be alone with a Vulnerable Organizational Participant prior to or following a competition or training session unless the Person in Authority is the Vulnerable Organizational Participant's parent or guardian.
  - b) If the Vulnerable Organizational Participant is the first Athlete to arrive, the Athlete's parent should remain until another Athlete or Person in Authority arrives.
  - c) If a Vulnerable Organizational Participant would potentially be alone with a Person in Authority following a competition or training session, the Person in Authority should ask another Person in Authority (or a parent or guardian of another Athlete) to stay until all of the Athletes have been picked up. If an adult is unavailable, another Athlete, who is preferably not a Vulnerable Organizational Participant, should be present to avoid the Person in Authority being alone with a Vulnerable Organizational Participant.

- d) Persons in Authority giving instructions, demonstrating skills, or facilitating drills or lessons to an individual Athlete should always do so within earshot and eyesight of another Person in Authority.
- e) Persons in Authority and Athletes should take steps to achieve transparency and accountability in their interactions. For example, a Person in Authority and an Athlete who know they will be away from other Organizational Participants for a lengthy period of time must inform another Person in Authority where they are going and when they are expected to return. Persons in Authority should always be reachable by phone or text message.

#### **Communications**

- 5. For communication between Persons in Authority and Athletes, AWA recommends:
  - a) Persons in Authority may only send texts, direct messages on social media or emails to individual Athletes when necessary and only for communicating information related to team issues and activities (e.g., non-personal information). Any such texts, messages or emails shall be professional in tone.
  - b) Electronic communication between Persons in Authority and Athletes that is personal in nature should be avoided. If such personal communication is unavoidable, it must be recorded and available for review by another Person in Authority and/or by the Athlete's parent/guardian (when the Athlete is a Vulnerable Organizational Participant).
  - c) Parents/guardians may request that their child not be contacted by a Person in Authority using any form of electronic communication and/or may request that certain information about their child not be distributed in any form of electronic communication.
  - d) All communication between a Person in Authority and Athletes should be during reasonable hours unless extenuating circumstances justify otherwise.
  - e) Communication concerning drugs or alcohol use (unless regarding its prohibition) is not permitted.
  - f) No sexually explicit language or imagery or sexually oriented conversation may be communicated in any medium.
  - g) Persons in Authority are not permitted to ask Athletes to keep a personal secret for them.

# **Travel**

- 6. For travel involving Persons in Authority and Athletes, AWA recommends:
  - a) Teams or groups of Athletes shall always have at least two Persons in Authority with them.
  - b) For mixed gender teams or groups of Athletes, there should be one Person in Authority from each gender.
  - c) If two Persons in Authority cannot be present, reasonable efforts should be made to supplement supervision with screened parents or other volunteers.

- d) To the maximum extent possible, no Person in Authority may drive a vehicle alone with an Athlete unless the Person in Authority is the Athlete's parent or guardian.
- e) A Person in Authority may not share a hotel room or be alone with an Athlete unless the Person in Authority is the Athlete's parent/guardian or spouse.
- f) Room or bed checks during overnight stays must be done by two Persons in Authority.
- g) For overnight travel when Athlete Support Personnel and/or Athletes must share a hotel room, roommates must be age-appropriate and of the same gender identity.

# **Locker Rooms / Changing Areas**

- 7. For locker rooms, changing areas and other closed meeting spaces, AWA recommends:
  - a) Interactions between Persons in Authority and Athletes should not occur in any area where there is a reasonable expectation of privacy such as a locker room, washroom or changing area. A second adult should be present for any necessary interaction between an adult and an Athlete in any such area.
  - b) If Persons in Authority are not present in the locker room or changing area, or if they are not permitted to be present, they should still be available outside the locker room or changing area and be able to enter the room or area if required, for reasons including but not limited to team communications and/or emergencies.

#### Photography / Video

- 8. For all photography and video of an Athlete, AWA recommends:
  - a) Photographs and video should only be taken in public view. Content must observe generally accepted standards of decency and be both appropriate for and in the best interest of the Athlete.
  - b) The use of recording devices in areas where there is a reasonable expectation of privacy is strictly prohibited.
  - c) Examples of photos that shall be edited or deleted include:
    - i. Images with misplaced apparel or where undergarments are showing
    - ii. Suggestive or provocative poses
    - iii. Embarrassing images
  - d) If any content featuring an Athlete will be used on any form of public media, a Photo and Video Consent Form (**Appendix A**) must be completed before the content is recorded.

# **Physical Contact**

- 9. Some physical contact between Persons in Authority and Athletes may be necessary for various reasons including, but not limited to, teaching a skill or tending to an injury. For physical contact, AWA recommends:
  - a) A Person in Authority must always request permission to make physical contact from the Athlete in advance and clearly explain where and why the physical contact will occur. The Person in Authority must make clear that they are requesting to touch the Athlete and not requiring physical contact.
  - b) Infrequent, incidental physical contact during a training session is not considered a violation of policy.
  - c) Non-essential physical contact may not be initiated by the Person of Authority. It is recognized that some Athletes may initiate non-essential physical contact such as hugging or other physical contact with a Person in Authority for various reasons (e.g., such as celebrating or crying after a poor performance). This physical contact should always occur in an open and observable environment.

#### **Enforcement**

10. Any alleged violations of this *Athlete Protection Policy* shall be addressed pursuant to the *Discipline and Complaints Policy*.

# Appendix A - Photo and Video Consent Form

me of Organizational Participant (print):
me of Parent/Guardian (print):
hen the Organizational Participant is a Minor)
te:
I, being the Organizational Participant or the parent or legal guardian of the minor Organizational Participant, hereby grant to AWA and [Insert Name of Club/Organization] (collectively the "Organizations") the permission to photograph and/or record the Organizational Participant's image and/or voice in pictures or videos (collectively the "Images"), and to use the Images to promote the sport and/or the Organizations through traditional media such as newsletters, websites, television, film, radio, print and/or display form, and through social media such as Instagram, Facebook, YouTube, and Twitter. I understand that I waive any claim to remuneration for use of audio/visual materials used for these purposes. This consent will remain in effect in perpetuity.
I, being the Organizational Participant or the parent or legal guardian of the Minor Organizational Participant, hereby fully release, discharge, and agree to save harmless the Organizations, from any and all claims, demands, actions, damages, losses or costs that might arise out of the collection, use or disclosure of the Images or taking, publication, distortion of the Images, negatives, and masters or any other likeness or representation of the Organizational Participant that may occur or be produced in the taking of said Images or in any subsequent processing thereof, including without limitation any claims for libel, passing off, misappropriation of personality, or invasion of privacy.
I, being the Organizational Participant or the parent or legal guardian of the Minor Organizational Participant, <b>UNDERSTAND AND AGREE</b> , that I have read and understood the terms and conditions of this document. On behalf of myself, my heirs, and assigns, I agree that I am signing this document voluntarily and to abide by such terms and conditions.
nature of Organizational Participant:
R, if the Organizational Participant is a Minor
gnature of Parent/Guardian:

# **Discipline and Complaints Policy**

#### **PURPOSE**

- 1. Organizational Participants are expected to fulfill certain responsibilities and obligations including, but not limited to, complying with all policies, by-laws, rules, and regulations of Alberta Weightlifting Association ("AWA"), as updated and amended from time to time.
- 2. Non-compliance with any of AWA's policies, by-laws, rules, or regulations, may result in the imposition of sanctions pursuant to this Policy or the by-laws of AWA.

#### **APPLICATION**

# **Application – General**

- 3. This Policy applies to all Organizational Participants and to any alleged breaches of AWA's policies, by-laws, rules or regulations.
- 4. In addition to being subject to disciplinary action pursuant to this Policy, an employee of AWA who is a Respondent to a complaint may also be subject to consequences in accordance with the employee's employment agreement or AWA's human resources policies, if and where applicable.

#### **REPORTING**

# **UCCMS Participants**

- 5. If, at any time, an Organizational Participation of AWA has been designated as a UCCMS Participant by a Program Signatory under OSIC, any alleged Maltreatment or Prohibited Behaviour (as those terms are defined in the UCCMS) that occurred or continued during the activities of the Program Signatory must be reported to the OSIC to be addressed pursuant to the OSIC's policies and procedures.
- 6. If the Independent Third Party receives a complaint that they consider would otherwise fall within the above section, they shall refer the matter to the OSIC and notify the individual(s) that made the complaint of such action.

#### **Organizational Participants**

- 7. Any complaints involving alleged breaches of AWA's policies that do not fall within Sections 5 or 6 above may be reported by an Organizational Participants to the Independent Third Party in writing. For the avoidance of doubt, this includes complaints referred back to the Independent Third Party by the OSIC following a determination made by the OSIC that a complaint initially reported to it does not fall within its jurisdiction.
- 8. Notwithstanding any provision in this Policy, AWA may, at its discretion, or upon request by the Independent Third Party, act as the Complainant and initiate the complaint process under the terms of this Policy. In such cases, AWA will identify an individual to represent the organization.

9. A Complainant who fears retribution or reprisal or who otherwise considers that their identity must remain confidential may file a complaint with the Independent Third Party and request that their identity be kept confidential. If the Independent Third Party considers that the Complainant's identity must remain confidential during the initial stages, the Independent Third Party may ask that AWA take carriage of the complaint and act as the Complainant.<sup>3</sup> The confidentiality of the Complainant's identity may not be guaranteed and will not be maintained for the entirety of the complaint process.

# **MINORS**

- 10. Complaints may be brought by or against an Organizational Participant who is a Minor. Minors must have a parent/guardian or other adult serve as their representative during this process.
- 11. Communication from the Independent Third Party, Internal Discipline Chair or External Discipline Panel (as applicable) must be directed to the Minor's representative.
- 12. If the Minor's representative is not their parent/guardian, the representative must have written permission to act in such a capacity from the Minor's parent/guardian.
- 13. A Minor is not required to attend or participate in an oral hearing, if held, or participate in an investigation if conducted. In such circumstances, no adverse inference can be drawn against the Minor.

#### **INDEPENDENT THIRD-PARTY RESPONSIBILITIES**

- 14. Upon receipt of a complaint, the Independent Third Party has a responsibility to:
  - a) Determine whether the complaint falls within the jurisdiction of this Policy;
  - b) Determine the appropriate jurisdiction to manage the complaint by considering the following:
    - i. whether the incident occurred within the business, activities, or Events of AWA; and
    - ii. if the Member or affiliated organization can manage the complaint process<sup>4</sup>.
  - c) Determine whether the complaint is frivolous, vexatious or if it has been made in bad faith<sup>5</sup>;

<sup>3</sup> In such circumstances, the Complainant(s) may be required to provide evidence during the disciplinary process.

If the Independent Third Party determines that the Complaint or Report should be handled by a Member, PTSO or affiliated organization, that organization may use its own policies to address the complaint or may adopt this Policy and appoint its own Independent Third Party to fulfil the responsibilities listed herein. Where this Policy is adopted by a Member, PTSO or affiliated organization, any reference to Independent Third Party below shall be understood as a reference to the Independent Third Party of the PTSO or affiliated organization.

<sup>&</sup>lt;sup>4</sup> In making this assessment, the Independent Third Party may determine that the Member or affiliated organization lacks the capacity to manage the complaint (which may include financial and human resource capacity), that the Member or affiliated organization is not the appropriate instance to manage the complaint due to its seriousness (for example, clubs will not be expected to manage serious complaints due to the complexity of conducting such a process), or that a real or perceived conflict of interest exists within the Member or affiliated organization.

<sup>&</sup>lt;sup>5</sup> As indicated in the Sport Dispute Resolution Centre of Canada's Investigation Guidelines, a Reported complaint shall not be characterized as vexatious if the evidence demonstrates that there was a reasonable basis for filing and pursuing it. For a complaint

- d) Determine if the alleged incident should be investigated pursuant to **Appendix A Investigation Procedure**; and
- e) Choose which process (Process #1 or Process #2, as outlined below) should be followed to hear and adjudicate the matter.
- 15. At all times the Independent Third Party must behave with integrity and professionalism.

# **Available Process**

There are two different processes that may be used to hear and adjudicate complaints. Subject to Sections 5 - 7, the Independent Third Party decides which process will be followed at their discretion, and such decision is not appealable.

**Process #1** - the complaint contains allegations involving the following behaviours:

- a) Disrespectful conduct or comments
- b) Minor acts of physical violence, unless the physical violence is between a Person in Authority and a Vulnerable Participant, in which case the matter will be addressed under Process #2
- c) Conduct contrary to the values of AWA;
- d) Non-compliance with the policies, procedures, rules, or regulations of AWA or those of one of its Members or affiliated organizations
- e) Minor violations of the policies or bylaws of AWA or those of one of its Members or affiliated organizations.

\*\*\* The behaviours identified above are examples only and are not a definitive list of behaviours that may be addressed through Process #1.

**Process #2** - the complaint contains allegations involving the following behaviours:

- a) Repeated incidents described in Process #1
- b) Hazing
- c) Abusive, racist, or sexist comments, conduct or behaviour
- d) Incidents that constitute Prohibited Behaviour under the Code of Conduct and Ethics (the "Code") or the UCCMS
- e) Major incidents of violence (e.g., fighting, attacking)
- f) Pranks, jokes, or other activities that endanger the safety of others

to be considered to have been made in bad faith, the Independent Third Party must consider that it was filed consciously for a dishonest purpose or due to the moral underhandedness of the Complainant and that there was an intention to mislead.

- g) Conduct that intentionally interferes with a competition or with any athlete's preparation for a competition
- h) Conduct that intentionally damages the image, credibility, or reputation of AWA or that of one of its Members or affiliated organizations
- i) Consistent disregard for the by-laws, policies, rules, or regulations of AWA or those of one of its Members or affiliated organizations
- Major or repeated violations of the Code or any other policies, by-laws, rules or regulations that designate this Discipline and Complaints Policy as applicable to address such alleged breaches
- k) Intentionally damaging the property of AWA, one of its Members or affiliated organizations, or improperly handling any of the aforementioned organizations' monies
- Abusive use of alcohol, any use or possession of alcohol by Minors, or use or possession of illicit drugs and narcotics
- m) A conviction for any Criminal Code offense

The behaviours identified above are examples only and are not a definitive list of behaviours that may be addressed through Process #2.

#### **PROVISIONAL MEASURES**

- 16. If it is considered appropriate or necessary on the basis of the circumstances, immediate discipline or the imposition of a Provisional Suspension or interim measures may be imposed against any Organizational Participant by AWA's Board of Directors after which further discipline or sanctions may be applied according to this Policy.
- 17. If an infraction occurs at a competition, it will be dealt with by the procedures specific to the competition, if applicable. Provisional Suspensions or interim measures may be imposed for the duration of a competition, training, activity, or Event only, or as otherwise determined appropriate by the Independent Third Party.<sup>6</sup>
- 18. Notwithstanding the above, AWA may determine that an alleged incident at an event is of such seriousness as to warrant the imposition of a Provisional Suspension of a Respondent pending completion of an investigation, assessment and/investigation by the OSIC, criminal process, the hearing, or a decision of the External Discipline Panel.
- 19. Any Respondent against whom a Provisional Suspension or interim measure is imposed may make a request to the Independent Third Party or External Discipline Panel (if appointed) to have the Provisional Suspension or interim measure lifted. In such circumstances, AWA shall be provided with an opportunity to make submissions, orally or in writing, regarding the Respondent's request to have their Provisional Suspension lifted. Provisional Suspensions or interim measures shall only be lifted in circumstances where

<sup>&</sup>lt;sup>6</sup> In-competition discipline or sanction imposed by the applicable official or authority does not prevent an Organizational Participant from facing additional disciplinary proceedings under the Code.'

the Respondent establishes that it would be manifestly unfair to maintain the Provisional Suspension or interim measures against them.

20. Any decision not to lift a Provisional Suspension or interim measure shall not be subject to appeal.

# **Procedural Steps**

# PROCESS #1: Handled by Internal Discipline Chair

# **Internal Discipline Chair**

- 21. Following the determination that the complaint or incident should be handled under Process #1, the Independent Third Party will appoint an Internal Discipline Chair<sup>7</sup> who may:
  - a) Propose alternative dispute resolution techniques, if appropriate; and/or
  - b) Ask the Complainant and the Respondent for either written or oral submissions regarding the complaint or incident. Both Parties shall also have the right to submit to the Internal Discipline Chair any relevant evidence, including, but not limited to witness statements, documentary evidence or evidence from other media (i.e., photos, screenshots, videos or other recordings). Each Party shall have the right to receive the other Party's submissions and evidence, including the Complainant's complaint. In the case of oral submissions, each Party shall be present when such submissions are made (unless waived by a Party); and/or
  - c) Following receipt of the Parties' submissions, the Internal Discipline Chair may convene the Parties to a meeting, either in person or by way of video or teleconference to ask the Parties questions and to allow the Parties to ask questions of one another.
- 22. Following their review of the submissions and evidence related to the complaint, the Internal Discipline Chair shall determine if any of the incidents listed in Process #1 above have occurred and, if so, determine whether to impose a sanction and, if so, determine the appropriate sanction (see: **Sanctions**). If, after hearing the Parties and reviewing their submissions, the Internal Discipline Chair considers that none of the incidents listed in Process #1 above has occurred, they shall dismiss the complaint.
- 23. The Independent Third Party will inform the Parties of the Internal Discipline Chair's decision, which shall be in writing and include reasons. The Internal Discipline Chair's decision will take effect immediately, unless specified otherwise by the Internal Discipline Chair. Should the circumstances require a decision to be rendered immediately or within a short timeline, the Internal Discipline Chair may render a short decision, either orally or in writing, followed by a written reasoned decision.
- 24. Any decision rendered by the Internal Discipline Chair shall be provided to and maintained in the records of the relevant club, Member and AWA. Decisions will be kept confidential by the Parties and the aforementioned organizations and shall be retained and discarded in accordance with the relevant and applicable privacy legislation.

# PROCESS #2: Handled by Independent Third Party and External Discipline Panel

<sup>&</sup>lt;sup>7</sup> The appointed Internal Discipline Chair must be unbiased and not in a conflict of interest.

# **Independent Third Party**

- 25. Following the determination that the complaint should be handled under Process #2, the Independent Third Party will propose the use of alternative dispute resolution methods, if appropriate. If the dispute is not resolved using alternative dispute resolution methods, the Independent Third Party will appoint an External Discipline Panel of one (1) person to hear the complaint. Thereafter, the Independent Third Party shall have the following responsibilities:
  - a) Coordinate all administrative aspects of the process and set reasonable timelines
  - b) Provide administrative assistance and logistical support to the External Discipline Panel as required, including providing the External Discipline Panel with any information related to previously imposed disciplinary sanctions against the Respondent(s) of the policies of AWA, any Member or any other sport organization that had authority over the Respondent
  - c) Provide any other service or support that may be necessary to ensure a fair and timely proceeding
- 26. The Independent Third Party will establish and adhere to timelines that ensure procedural fairness and that the matter is heard in a timely fashion.
- 27. If warranted based on the nature of the case, the Independent Third Party may, in their sole discretion, appoint an External Discipline Panel of three (3) people. When a three-person External Discipline Panel is appointed, the Independent Third Party will appoint one of the External Discipline Panel's members to serve as the Chair.
- 28. The Independent Third Party, in cooperation with the External Discipline Panel, will then decide the format under which the complaint will be heard. This decision may not be appealed. The format of the hearing may be an oral in-person hearing, an oral hearing by telephone or other communication medium, a hearing based on a review of documentary evidence submitted in advance of the hearing, or a combination of these methods.
- 29. The hearing will be governed by the procedures that the Independent Third Party and the External Discipline Panel deem appropriate for the circumstances. The following procedural directions will apply:
  - a) The determination of procedures and timelines, as well as the hearing duration, shall be as expedient and cost-efficient as possible to ensure that costs to the Parties and AWA and/or the Member are reasonable
  - b) The Parties will be given appropriate notice of the day, time, and place of the hearing
  - c) Copies of any written documents which any of the Parties wishes to have the External Discipline Panel consider will be provided to all Parties, through the Independent Third Party, in advance of the hearing and in accordance with the timelines set by the Independent Third Party
  - d) The Parties may engage a representative, advisor, translator, transcription services or legal counsel at their own expense

- e) The External Discipline Panel may request that any other individual participate and give evidence at the hearing
- f) If not a Party, AWA and/or the relevant Member shall be allowed to attend the hearing as an observer and will be provided with access to any documents submitted. With the permission of the External Discipline Panel, AWA and/or the relevant Member may make submissions at the hearing or may provide the discipline panel with clarifying information that may be required for the External Discipline Panel to render its decision<sup>8</sup>
- g) The External Discipline Panel shall allow any evidence at the hearing filed by the Parties and may exclude any evidence is unduly repetitious or otherwise an abuse of process. The External Discipline Panel shall otherwise apply relevant and applicable evidentiary rules in relation to the admissibility and weight given to evidence filed by the parties
- h) Nothing is admissible in evidence at a hearing that:
  - i. would be inadmissible in a court by reason of any privilege under the law of evidence; or
  - ii. is inadmissible by any statute.
- i) The decision will be by a majority vote of the External Discipline Panel when the Panel consists of three people.
- 30. If the Respondent acknowledges the facts of the incident(s), the Respondent may waive the hearing, in which case the External Discipline Panel will determine the appropriate sanction. The External Discipline Panel may still hold a hearing for the purpose of determining an appropriate sanction.
- 31. The process will proceed if a Party chooses not to participate in the hearing.
- 32. If a decision may affect another Organizational Participant to the extent that the other Organizational Participant would have recourse to a complaint or an appeal in their own right, that Organizational Participant will become a Party to the complaint, shall be permitted to participate in the proceedings as determined by the External Discipline Panel, and will be bound by the decision.
- 33. In fulfilling its duties, the External Discipline Panel may obtain independent advice.

#### **DECISION**

34. After hearing the matter, the External Discipline Panel will determine whether an infraction has occurred and, if so, the sanctions to be imposed. If the External Discipline Panel considers that an infraction has not occurred, the complaint will be dismissed.

<sup>&</sup>lt;sup>8</sup> The purpose of this provision is not to provide the AWA or a Member with the possibility to try to influence whether a sanction is imposed and, if so, the duration or nature of the sanction. Instead, this provision is intended to provide the AWA or a Member with the possibility to provide the discipline panel with clarifying information when the parties have sought a particular sanction against an Individual, but they have misunderstood or misrepresented fundamental elements of the programming or membership structure (or other similar issues) and, if left unaddressed, could result in the discipline panel imposing a sanction that is unenforceable.

- 35. Within fourteen (14) days of the conclusion of the hearing, the External Discipline Panel's written decision, with reasons, will be distributed to all Parties by the Independent Third Party, including to AWA and the relevant Member(s).
- 36. In extraordinary circumstances, the External Discipline Panel may first issue a verbal or summary decision soon after the conclusion of the hearing, with the full written decision to be issued before the end of the fourteen (14) day period.
- 37. The External Discipline Panel's decision will come into effect as of the date that it is rendered, unless decided otherwise by the External Discipline Panel. The External Discipline Panel's decision will apply automatically to AWA and all of its Members and associated organizations, according to the terms of the *Reciprocation Policy*.
- 38. Once the appeal deadline in the *Appeal Policy* has expired, AWA or the Member (as applicable) shall publish on their website the outcome of the case, the provision(s) of the relevant policies that have been violated, the name(s) of the Organizational Participant (s) involved and the sanction(s) imposed, if any, or as otherwise specified by the Publication Guidelines. If the matter is appealed, the publication provisions in the *Appeal Policy* shall apply. Identifying information regarding Minors or Vulnerable Participants will never be published.
- 39. If the External Discipline Panel dismisses the complaint, the information referred to in Section 34 above may only be published with the Respondent's consent. If the Respondent does not provide such consent, the information referred to in Section 34 above will be kept confidential by the Parties, the Independent Third Party, AWA and the Organizational Participant(s) and shall be retained and discarded in accordance with the relevant and applicable privacy legislation. Failure to respect this provision may result in disciplinary action being taken pursuant to this *Policy*.
- 40. Other individuals or organizations, including but not limited to, Members, Provincial/Territorial sport organizations, sport clubs, etc., shall be advised of the outcome of any decisions rendered in accordance with this Policy.
- 41. Records of all decisions will be maintained by AWA in accordance with their *Privacy Policy*.
- 42. When the External Discipline Panel imposes a sanction, the decision shall include, at a minimum, the following details:
  - a) Jurisdiction;
  - b) Summary of the facts and relevant evidence;
  - c) Where applicable, the specific provision(s) of AWA's policies, bylaws, rules or regulations that have been breached;
  - d) Which Party or organization is responsible for the costs of implementing any sanction;
  - e) Which organization is responsible for monitoring that the sanctioned individual respects the terms of the sanction;

- f) Any reinstatement conditions that the Respondent must satisfy (if any);
- g) Which organization is responsible for ensuring that the conditions have been satisfied; and,
- h) Any other guidance that will assist the Parties to implement the External Discipline Panel's decision.
- 43. If necessary, a Party or the organization that is responsible for implementing or monitoring a sanction may seek clarifications from the External Discipline Panel regarding the order so that it can be implemented or monitored appropriately.

#### **SANCTIONS**

- 44. When determining the appropriate sanction, the Internal Discipline Chair or External Discipline Panel, as applicable, will consider the following factors (where applicable):
  - a) The nature and duration of the Respondent's relationship with the Complainant, including whether there is a power imbalance;
  - b) The Respondent's prior history and any pattern of misconduct, Prohibited Behaviour or Maltreatment;
  - c) The respective ages of the individuals involved;
  - d) Whether the Respondent poses an ongoing and/or potential threat to the safety of others;
  - e) The Respondent's voluntary admission of the offense(s), acceptance of responsibility for the misconduct, Prohibited Behaviour or Maltreatment, and/or cooperation in the investigative and/or disciplinary process of AWA;
  - f) Real or perceived impact of the incident on the Complainant, sport organization or the sporting community;
  - g) Circumstances specific to the Respondent being sanctioned (e.g. lack of appropriate knowledge or training regarding the requirements in the *Code*; addiction; disability; illness);
  - h) Whether, given the facts and circumstances that have been established, continued participation in the sport community is appropriate;
  - i) A Respondent who is in a position of trust, intimate contact or high-impact decision-making may face more serious sanctions; and/or
  - j) Other mitigating or aggravating circumstances where it is reasonable and appropriate.
- 45. Any sanction imposed must be proportionate and reasonable. However, progressive discipline is not required, and a single incident of Prohibited Behaviour, Maltreatment or other misconduct may justify elevated or combined sanctions.

- 46. The Internal Discipline Chair or External Discipline Panel, as applicable, may apply the following disciplinary sanctions, singularly or in combination:
  - a) **Verbal or Written Warning** A verbal reprimand or an official, written notice that an Organizational Participant(s) has violated the *Code* and that more severe sanctions will result should the Organizational Participant(s) be involved in other violations
  - b) **Education** The requirement that an Organizational Participant(s) undertake specified educational or similar remedial measures to address the violation(s) of the *Code* or the UCCMS
  - c) Probation Should any further violations of the Code or the UCCMS occur during the probationary period, this may result in additional disciplinary measures, including, without limitation, a period of suspension or permanent ineligibility. This sanction can also include loss of privileges or other conditions, restrictions, or requirements for a specified period
  - d) **Suspension** Suspension, either for a set time or until further notice, from participation, in any capacity, in any program, activity, Event, or competition sponsored by, organized by, or under the auspices of AWA. A suspended Organizational Participant(s) may be eligible to return to participation, but reinstatement may be subject to certain restrictions or contingent upon the Organizational Participant(s) satisfying specific conditions noted at the time of suspension
  - e) **Eligibility Restrictions** Restrictions or prohibitions from some types of participation but allowing participation in other capacities under strict conditions
  - f) **Permanent Ineligibility** Ineligibility to participate in any capacity in any program, activity, Event, or competition sponsored by, organized by, or under the auspices of AWA
  - g) Other Discretionary Sanctions Other sanctions may be imposed, including, but not limited to, other loss of privileges, no contact directives, a fine or a monetary payment to compensate for direct losses, or other restrictions or conditions as deemed necessary or appropriate
- 47. The Internal Discipline Chair or External Discipline Panel, as applicable, may apply the following presumptive sanctions which are presumed to be fair and appropriate for the listed Maltreatment:
  - a) Sexual Maltreatment involving a Minor Complainant, or a Complainant who was a Minor at the time of the incidents complained of, shall carry a presumptive sanction of permanent ineligibility
  - b) Sexual Maltreatment, Physical Maltreatment with contact, and Maltreatment related to interference or manipulation of process shall carry a presumptive sanction of either a period of suspension or eligibility restrictions
  - c) While a Respondent has pending charges or allegations of a crime against a person, if justified by the seriousness of the offence, the presumptive sanction shall be a period of suspension until a final determination is made by the applicable process.

- 48. An Organizational Participant's conviction for certain *Criminal Code* offenses involving harmful conduct shall carry a presumptive sanction of permanent ineligibility from participating with AWA. Such *Criminal Code* offences may include, but are not limited to:
  - a) Any child pornography offences
  - b) Any sexual offences
  - c) Any offence of physical violence
- 49. Failure to comply with a sanction as determined by the External Discipline Panel will result in an automatic suspension until such time as compliance occurs.

#### **OSIC SANCTION**

50. AWA will ensure that any sanctions or measures imposed by the OSIC's Director of Sanctions and Outcomes ("DSO") against an Organizational Participant will be implemented and respected within AWA's jurisdiction once AWA receives appropriate notice of any sanction or measure from the OSIC.

# **APPEALS**

51. The decision of an Internal Discipline Chair or External Discipline Panel, as applicable, may be appealed in accordance with the *Appeal Policy*.

#### **CONFIDENTIALITY**

- 52. The disciplinary process is confidential and involves only AWA, the Member (where applicable) the Parties, the Independent Third Party, the Internal Discipline Chair, the External Discipline Panel (as appropriate), and any independent advisors to the External Discipline Panel.
- 53. None of the Parties (or their representatives or witnesses) or organizations referred to in Section 52 will disclose confidential information relating to the discipline or complaint to any person not involved in the proceedings, unless AWA is required to notify an organization such as an international federation, Sport Canada or other sport organization (i.e., where a Provisional Suspension or interim measures have been imposed and communication is required to ensure that they may be enforced), or notification is otherwise required by law.
- 54. Any failure to respect the confidentiality requirement may result in further sanctions or discipline by the Internal Discipline Chair or External Discipline Panel (as applicable).

#### **TIMELINES**

55. If the circumstances of the complaint are such that adhering to the timelines outlined by this Policy will not allow a timely resolution to the complaint, the Independent Third Party may direct that these timelines be revised.

#### **PRIVACY**

- 56. The collection, use and disclosure of any personal information pursuant to this Policy is subject to AWA's Privacy Policy.
- 57. AWA, its Members, or any of their delegates pursuant to this Policy (i.e., Independent Third Party, Internal Discipline Chair, External Discipline Panel), shall comply with AWA's Privacy Policy (or, in the case if a Member, the Member's Privacy Policy) in the performance of their services under this Policy.

# Appendix A - Investigation Procedure

#### Determination

1. When a complaint is submitted pursuant to the *Policy* and is accepted by the Independent Third Party, the Independent Third Party will determine if the incident(s) should be investigated.

# Investigation

- 2. If the Independent Third Party considers that an investigation is necessary, they will appoint an investigator. The investigator must be an independent third-party with experience in investigating. The investigator must not be in a conflict-of-interest situation and should have no connection to either party.
- 3. Provincial legislation related to Workplace Harassment may apply to the investigation if Harassment was directed toward an employee in a Workplace. The investigator should review workplace safety legislation, the organization's policies for human resources, and/or consult independent experts to determine whether legislation applies to the complaint.
- 4. The investigation may take any form as decided by the Investigator, guided by any applicable provincial legislation. The investigation may include:
  - a) Interviews with the Complainant
  - b) Witness interviews
  - c) Statement of facts (Complainant's perspective) prepared by investigator, acknowledged by the Complainant and provided to the Respondent
  - d) Interviews with the Respondent
  - e) Statement of facts (Respondent's perspective) prepared by investigator, acknowledged by the Respondent and provided to the Complainant

# **Investigator's Report**

- 5. Upon completion of their investigation, the investigator shall prepare a written report that shall include a summary of evidence from the Parties and any witnesses interviewed. The report shall also include a non-binding recommendation from the investigator regarding whether an allegation or, where there are several allegations, which allegations, should be heard by an External Discipline Panel pursuant to the *Discipline and Complaints Policy* because they constitute a likely breach of the *Code of Conduct and Ethics*, the UCCMS or any other relevant and applicable AWA or Member policy.
- 6. If requested by AWA, the investigator may also make non-binding recommendations regarding the appropriate next steps (i.e., mediation, disciplinary procedures, further review or investigation).
- 7. The investigator's report will be provided to the Independent Third Party who will disclose it, at their discretion, all or part of the investigation to AWA and the relevant Members (if applicable). The Independent Third Party may also disclose the investigator's report or a redacted version to protect the

- identity of witnesses to the Parties, at their discretion, with any necessary redactions. Alternatively, and only, if necessary, other relevant Organizational Participants may be provided with an executive summary of the investigator's findings by the Independent Third Party.
- 8. Should the investigator find that there are possible *Criminal Code* offences, the investigator shall advise the Parties, AWA and, where applicable, the Member, and the matter shall be referred by the Independent Third Party to the police.
- 9. The Investigator must also inform AWA or the Member (as applicable) of any findings of criminal activity. The Organization or the Member (as applicable) may decide whether to report such findings to police but is required to inform police if there are findings related to the trafficking of prohibited substances or methods (as indicated in the version of the World Anti-Doping Agency's Prohibited List currently in force), any sexual crime involving Minors, fraud against AWA or any Member(s) (as applicable), or other offences where the lack of reporting would bring AWA or its Member(s) (as applicable) into disrepute.

## **Reprisal and Retaliation**

10. An Organizational Participant who submits a complaint to the Independent Third Party or who gives evidence in an investigation may not be subject to reprisal or retaliation from any individual or group. Any such conduct may constitute Prohibited Behaviour be subject to disciplinary proceedings pursuant to the *Discipline and Complaints Policy* or, as applicable, the policies and procedures of the OSIC.

# **False Allegations**

- 11. An Organizational Participant who submits allegations that the Investigator determines to be malicious, false, or for the purpose of retribution, retaliation or vengeance may be subject to a complaint under the terms of the *Discipline and Complaints Policy* and may be required to pay for the costs of any investigation that comes to this conclusion. The investigator may recommend to AWA or the Member (as applicable) that the Organizational Participant be required to pay for the costs of any investigation that comes to this conclusion.
- 12. Any Organizational Participant who is liable to pay for such costs shall be automatically deemed to be not in good standing until the costs are paid in full and shall be prohibited from participating in any Member and AWA Events, activities or business. AWA or any Member(s) (as applicable), or the Organizational Participant against whom the allegations were submitted, may act as the Complainant with respect to making a complaint pursuant to this Section 10.

### Confidentiality

13. The Investigator will make reasonable efforts to preserve the anonymity of AWA, Respondent, and any other Party. However, AWA and its Members recognize that maintaining full anonymity during an investigation may not be feasible.

# **Privacy**

14. The collection, use and disclosure of any personal information pursuant to this Policy is subject to AWA's *Privacy Policy*.

15. AWA, its Members, or any of their delegates pursuant to this Policy (i.e., Independent Third Party, Internal Discipline Chair, External Discipline Panel), shall comply with AWA's Privacy Policy (or, in the case if a Member, the Member's Privacy Policy) in the performance of their services under this Policy.

# **Appendix B - Publication Guidelines**

- 1. Subject to AWA's *Discipline and Complaints Policy*, disciplinary decisions of an External Discipline Panel will be considered a matter of public record, subject to the restrictions set out below.
- 2. Publication of all decisions will not take place until the disciplinary process undertaken by AWA is complete.
- 3. Publication means the communication of information by making it known or accessible to the general public through any means, including print, telecommunication or electronic means.
- 4. Notification means providing a written copy of any disciplinary decision to an organization as required. Parties who receive a copy of a disciplinary decision may not publicly disclose this information, expect as reasonably necessary to implement the terms of the decision and any sanction.
- 5. After receiving a copy of a disciplinary decision, Alberta Weightlifting Association will, unless otherwise directed by the Panel, make the disciplinary decision publicly available on their website or by any other means, such as social media channels, deemed appropriate by AWA.
- 6. Decisions will be posted in accordance with the following:
  - a. Where a sanction or discipline is imposed by External Discipline Panel for a set period where an Organizational Participant is restricted in their involvement with the sanctioned activities of AWA, such as a suspension or a probationary period, the decision will be posted for the duration of the sanction. It will be removed at once the identified time has passed plus two years.
  - b. Where a sanction or discipline involves a verbal or written warning or other reprimand, the decision will be posted for two years.
  - c. If there is a sanction or discipline involving a period of ineligibility, the decision will be posted for the period of ineligibility plus two years, except in the case of a sanction of permanent ineligibility. A sanction of permanent ineligibility will be posted indefinitely.
  - d. If a sanction or discipline is conditional on the completion of training, education or other conditions, the decision will be posted until the Organizational Participant has completed the required conditions to the satisfaction of AWA, plus two years.
  - e. All publications shall take place following the completion of the complaint process. In exceptional circumstances, publication will take place to protect the public and/or if the integrity of AWA will be affected by not publishing the decision.
  - f. The publishing of interim suspensions and/or provisional measures will only take place in exceptional circumstances described above in subsection (e).
  - g. Publication bans are standard while a complaint is in progress with AWA. All information except for information already publicly available or released is subject to a publication ban and kept confidential until the process is completed.

- 7. Prior to publishing the disciplinary decision, AWA will remove any confidential or sensitive material from the disciplinary decision, including any identifying information about Organizational Participants or other individuals named, unless these Organizational Participants are subject to a sanction and/or discipline in the disciplinary decision.
- 8. Matters which are resolved prior to a decision of an External Discipline Panel being issued will not be subject to publication, though AWA may notify any relevant organization of any settlement and resulting restrictions on the participation rights of a Respondent within the sanctioned activities of AWA.
- 9. AWA will publish a summary of the disciplinary decision. This summary will include the name of the Respondent(s), the nature of the breach or breaches, the policies, bylaws, rules or regulations that have been breached, the outcome and any sanction imposed, as well as the date of decision.
- 10. Identifying information regarding Minor or Vulnerable Organizational Participants will never be published by AWA.
- 11. Disciplinary decisions involving sanctions imposed by the OSIC will be published according to the guidelines established by the OSIC.
- 12. Nothing in the above prohibits AWA from notifying relevant sport organizations of any disciplinary decision imposing a sanction and/or discipline on an Organizational Participant, including Minor or Vulnerable Organizational Participant, as required by the *Reciprocation Policy*. If a Minor or Vulnerable Organizational Participant is sanctioned and/or disciplined under a disciplinary decision, any organization who receives notification of this disciplinary decision must keep the decision confidential, except as reasonably necessary to implement the terms of the disciplinary decision.
- 13. Records of all decisions will be maintained by AWA in accordance with the *Privacy Policy*.

# **Alternative Dispute Resolution Policy**

- 1. Alberta Weightlifting Association ("AWA") supports the principles of Alternative Dispute Resolution (ADR) and is committed to the techniques of negotiation, facilitation, and mediation as effective ways to resolve disputes. ADR also avoids the uncertainty, costs, and other negative effects associated with lengthy appeals or complaints, or with litigation.
- 2. AWA encourages all Organizational Participants to communicate openly, and to collaborate and use problem-solving and negotiation techniques to resolve their differences. AWA believes that negotiated settlements are often preferable to arbitrated outcomes.
- 3. Negotiated resolutions to disputes with and among Organizational Participants are strongly encouraged, if appropriate in the circumstances.

# **Application of this Policy**

- 4. This Policy applies to all Organizational Participants.
- 5. Opportunities for ADR may be pursued at any point in a dispute when all parties to the dispute agree that such a course of action would be mutually beneficial.

### **Facilitation and Mediation**

- 6. If all parties to a dispute agree to ADR, a mediator or facilitator, acceptable to all parties, shall be appointed to mediate or facilitate the dispute.
- 7. The mediator or facilitator shall decide the format under which the dispute shall be mediated or facilitated and may, if they consider it appropriate, specify a deadline before which the parties must reach a negotiated decision.
- 8. Should a negotiated settlement be reached, the written settlement shall be reported to, and approved by, AWA for approval. Any actions that are to take place as a result of the decision shall be enacted on the timelines specified by the negotiated decision, pending approval.
- 9. Should a negotiated settlement not be reached by the deadline specified by the mediator or facilitator at the start of the process (if set), or if the parties to the dispute do not agree to ADR, the dispute shall be considered under the appropriate section of the *Discipline and Complaints Policy* or *Appeal Policy*, as applicable.

# **Final and Binding**

10. Any negotiated settlement will be binding on the parties. Negotiated settlements may not be appealed.

# **Appeal Policy**

### **Purpose**

1. This Appeal Policy provides Organizational Participants with a fair and expedient appeal process.

# Scope and Application of this Policy

- 2. This Policy applies to all Organizational Participants.
- 3. Any Organizational Participant who is directly affected by a decision made by Alberta Weightlifting Association ("AWA") shall have the right to appeal that decision if there are sufficient grounds for the appeal under the **Grounds for Appeal** section of this Policy.
- 4. This Policy will apply to decisions relating to:
  - a) Eligibility
  - b) Selection
  - c) Conflict of Interest
  - d) Discipline
  - e) Membership
- 5. This Policy will not apply to decisions relating to:
  - a) Employment
  - b) Infractions for doping offenses
  - c) The rules of the sport
  - d) Selection criteria, quotas, policies, and procedures established by entities other than AWA
  - e) Substance, content and establishment of team selection or carding criteria
  - f) Volunteer/coach appointments and the withdrawal or termination of those appointments
  - g) Budgeting and budget implementation
  - h) The organization's governance structure, operational structure and committee appointments
  - i) Decisions or discipline arising within the business, activities, or events organized by entities other than AWA (appeals of these decisions shall be dealt with pursuant to the policies of those other entities unless requested and accepted by AWA at its sole discretion)
  - j) Commercial matters for which another appeals process exists under a contract or applicable law
  - k) Decisions made under this Policy
  - 1) Decisions captured within AWA's Event Discipline Procedure
  - m) Contractual matters between AWA and Organizational Participant for which another dispute resolution process exists under the provisions of the applicable contract
  - n) Criminal offences:
  - o) Settlements negotiated pursuant to the Alternative Dispute Resolution Policy; or
  - p) Disputes that do not meet the Grounds set out in the Policy

# **Timing of Appeal**

- 6. Organizational Participants who wish to appeal a decision have seven (7) days from the date on which they received notice of the decision to submit the following in writing to AWA:
  - a) Notice of the intention to appeal
  - b) Their contact information
  - c) Name and contact information of the Respondent and any Affected Parties, when known to the Appellant
  - d) Date the Appellant was advised of the decision being appealed
  - e) A copy of the decision being appealed, or description of decision if written document is not available
  - f) Grounds for the appeal
  - g) Detailed reasons for the appeal
  - h) All evidence that supports these grounds
  - i) Requested remedy or remedies
  - j) An administration fee of two hundred dollars (\$200), which will be refunded if the appeal is upheld
- 7. An Organizational Participant who wishes to initiate an appeal beyond the seven (7) day period must provide a written request stating the reasons for an exemption. The decision to allow, or not allow an appeal outside of the seven (7) day period will be at the sole discretion of the Appeal Manager and may not be appealed.

### **Grounds for Appeal**

- 8. A decision cannot be appealed on its merits alone. An appeal may only be heard if there are sufficient grounds for appeal. Sufficient grounds include the Respondent:
  - a) Made a decision that it did not have the authority or jurisdiction (as set out in the Respondent's governing documents) to make
  - b) Failed to follow its own procedures (as set out in the Respondent's governing documents)
  - c) Made a decision that was influenced by bias (where bias is defined as a lack of neutrality to such an extent that the decision-maker appears not to have considered other views)
  - d) Made a decision that was unreasonable

### **Screening of Appeal**

9. The parties may first attempt to resolve the appeal through the Alternative Dispute Resolution Policy.

- 10. Appeals resolved under the *Alternative Dispute Resolution Policy* will result in the administration fee being refunded to the Appellant.
- 11. Should the appeal not be resolved by using the *Alternative Dispute Resolution Policy*, AWA will appoint an independent Appeal Manager (who must not be in a conflict of interest or have any direct relationship with the parties) who has the following responsibilities:
  - a) To determine if the appeal falls under the scope of this Policy
  - b) To determine if the appeal was submitted in a timely manner
  - c) To decide whether there are sufficient grounds for the appeal
- 12. If the Appeal Manager denies the appeal because of insufficient grounds, because it was not submitted in a timely manner, or because it did not fall under the scope of this Policy, the Appellant will be notified, in writing, of the reasons for this decision. This decision may not be appealed.
- 13. If the Appeal Manager is satisfied there are sufficient grounds for an appeal, the Appeal Manager will appoint an Appeal Panel which shall consist of a single Arbitrator, to hear the appeal. In extraordinary circumstances, and at the discretion of the Appeal Manager, an Appeal Panel composed of three persons may be appointed to hear the appeal. In this event, the Appeal Manager will appoint one of the Panel's members to serve as the Chair.

#### **Determination of Affected Parties**

16. To confirm the identification of any Affected Parties, the Appeal Manager will engage AWA. The Appeal Manager may determine whether a party is an Affected Party in their sole discretion.

# **Procedure for Appeal Hearing**

- 17. The Appeal Manager shall notify the Parties that the appeal will be heard. The Appeal Manager shall then decide the format under which the appeal will be heard. This decision is at the sole discretion of the Appeal Manager and may not be appealed.
- 18. If a party chooses not to participate in the hearing, the hearing will proceed in any event.
- 19. The format of the hearing may involve an oral in-person hearing, an oral hearing by telephone or other electronic means, a hearing based on a review of documentary evidence submitted in advance of the hearing, or a combination of these methods. The hearing will be governed by the procedures that the Appeal Manager and the Panel deem appropriate in the circumstances. The following guidelines will apply to the hearing:
  - a) The hearing will be held within a timeline determined by the Appeal Manager
  - b) The Parties will be given reasonable notice of the day, time and place of an oral in-person hearing or oral hearing by telephone or electronic communications.

- c) Copies of any written documents which any of the Parties wishes to have the Panel consider will be provided to all Parties in advance of the hearing
- d) The Parties may be accompanied by a representative, advisor, or legal counsel at their own expense
- e) The Panel may request that any other individual participate and give evidence at an oral in-person hearing or oral hearing by telephone or electronic communications.
- f) The Panel may allow as evidence at the hearing any oral evidence and document or thing relevant to the subject matter of the appeal, but may exclude such evidence that is unduly repetitious and shall place such weight on the evidence as it deems appropriate
- g) If a decision in the appeal may affect another party to the extent that the other party would have recourse to an appeal in their own right under this Policy, that party will become an Affected Party to the appeal in question and will be bound by its outcome
- h) The decision to uphold or reject the appeal will be by a majority vote of Panel members
- 20. In fulfilling its duties, the Panel may obtain independent advice.

# **Appeal Decision**

- 21. The Appellant must demonstrate, on a balance of probabilities, that the Respondent has made a procedural error as described in the **Grounds for Appeal** section of this Policy and that this error had, or may reasonably have had, a material effect on the decision or decision-maker.
- 22. The Panel shall issue its decision, in writing and with reasons, within seven (7) days after the hearing's conclusion. In making its decision, the Panel will have no greater authority than that of the original decision-maker. The Panel may decide to:
  - a) Reject the appeal and confirm the decision being appealed;
  - b) Uphold the appeal and refer the matter back to the initial decision-maker for a new decision; or
  - c) Uphold the appeal and vary the decision.
- 23. The Panel will also determine whether costs of the appeal, excluding legal fees and legal disbursements of any parties, will be assessed against any party. In assessing costs, the Panel will take into account the outcome of the appeal, the conduct of the parties, and the parties' respective financial resources.
- 24. The Panel's written decision, with reasons, will be distributed to all parties, the Appeal Manager, and AWA. In extraordinary circumstances, the Panel may first issue a verbal or summary decision soon after the hearing's conclusion, with the full written decision to be issued thereafter. The decision will be considered a matter of public record unless decided otherwise by the Panel.

### **Timelines**

25. If the circumstances of the appeal are such that adhering to the timelines outlined by this Policy will not allow a timely resolution to the appeal, the Appeal Manager and/or Panel may direct that these timelines be revised.

# Confidentiality

- 26. The appeals process is confidential and involves only the parties, the Appeal Manager, the Panel, and any independent advisors to the Panel. Once initiated and until a decision is released, none of the Parties (or their representatives or witnesses) will disclose confidential information relating to the appeal to any person not involved in the proceedings, unless AWA is required to notify an organization such as an international federation, Sport Canada or other sport organization (i.e., where a Provisional Suspension or interim measures have been imposed and communication is required to ensure that they may be enforced), or notification is otherwise required by law
- 27. The appeal process is confidential and involves only AWA, the Member (where applicable) the Parties, the Appeal Manager, the Panel, any independent advisors to the Panel.
- 28. None of the Parties (or their representatives or witnesses) or organizations referred to in Section 26 will disclose confidential information relating to the appeal to any person not involved in the proceedings, unless AWA is required to notify an organization such as an international federation, Sport Canada or other sport organization (i.e., where a Provisional Suspension or interim measures have been imposed and communication is required to ensure that they may be enforced), or notification is otherwise required by law.
- 29. Any failure to respect the confidentiality requirement may result in further sanctions or discipline by the Panel.

### **Final and Binding**

30. No action or legal proceeding will be commenced against AWA or Organizational Participants in respect of a dispute, unless AWA has refused or failed to provide or abide by the dispute resolution process and/or appeal process as set out in governing documents.

# **Social Media Policy**

### **Preamble**

 Alberta Weightlifting Association ("AWA") is aware that Organizational Participant interaction and communication occurs frequently on Social Media. AWA cautions Organizational Participants that any conduct falling short of the standard of behaviour required by this Social Media Policy and the Code of Conduct and Ethics may be subject to the disciplinary sanctions identified within the Discipline and Complaints Policy.

# **Application of this Policy**

2. This Policy applies to all Organizational Participants.

#### **Conduct and Behaviour**

- 3. All conduct and behaviour occurring on Social Media must comply with the Code of Conduct and Ethics.
- 4. Organizational Participants may not engage in the following behaviour on Social Media:
  - a) Posting a disrespectful, hateful, harmful, disparaging, or insulting comment on a social medium.
  - b) Posting a picture, altered picture, or video on a social medium that is harmful, disrespectful, insulting, embarrassing, suggestive, provocative, or otherwise offensive.
  - c) Creating or contributing to a Facebook group, webpage, Instagram account, Twitter feed, blog, or online forum devoted solely or in part to promoting negative or disparaging remarks or commentary about AWA or its stakeholders or reputation
  - d) Any instance of cyber-bullying or cyber-harassment where incidents of cyber-bullying and cyber-harassment can include but are not limited to the following conduct on any social medium, via text message, or via email: regular insults, negative comments, vexatious behaviour, pranks or jokes, threats, posing as another person, spreading rumours or lies, or other harmful behaviour.

### **Organizational Participants Responsibilities**

- 5. Organizational Participants should be aware that their Social Media activity may be viewed by anyone; including AWA.
- 6. If AWA unofficially engages with an Organizational Participant in Social Media (such as by retweeting a tweet or sharing a photo on Facebook) the Organizational Participant may, at any time, ask AWA to cease this engagement.
- 7. When using Social Media, an Organizational Participant must model appropriate behaviour befitting the Organizational Participant's role and status in connection with AWA.
- 8. Removing content from Social Media after it has been posted (either publicly or privately) does not excuse the Organizational Participant from being subject to the *Discipline and Complaints Policy*.

9. An individual who believes that an Organizational Participant's Social Media activity is inappropriate or may violate policies and procedures should report the matter to AWA in the manner outlined by the *Discipline* and Complaints Policy.

# **AWA's Responsibilities**

- 10. AWA has a responsibility to understand if and how Persons in Authority and Athletes are using Social Media to communicate with each other. Persons in Authority and Athletes may need to be reminded that behaviour in Social Media is still subject to the *Code of Conduct and Ethics* and *Social Media Policy*.
- 11. Complaints and concerns about the behaviour of a Person in Authority or Athlete in Social Media can be addressed under the *Discipline and Complaints Policy*.

# **Guidelines**

- 12. The Guidelines in this section provide Persons in Authority and Athletes with tips and suggestions for Social Media use. Persons in Authority and Athletes are strongly encouraged to develop their own strategy for Social Media use (either written down or not) and ensure that their strategy for Social Media use is acceptable pursuant to the *Code of Conduct and Ethics*.
- 13. Given the nature of Social Media as a continually developing communication sphere, AWA trusts its Persons in Authority and Athletes to use their best judgment when interacting with Social Media. These Guidelines are not hard and fast rules or behavioural laws; but rather recommendations that will inform individuals' best judgment.

# **Social Media Guidelines for Persons in Authority**

- 14. Persons in Authority should consider the following guidelines to inform their own strategy for Social Media use:
  - a) With Minor Athletes, ensure that parents/guardians are aware if some interactions may take place on Social Media and the context for those interactions, and give parents/guardians the option to prohibit or restrict communication in this space
  - b) Attempt to make communication with Athletes in Social Media as one-sided as possible. Be available for Athletes if they initiate contact Athletes may wish to have this easy and quick access to you but avoid imposing yourself into an Athlete's personal Social Media space
  - c) Ensure all Social Media communication is professional, unambiguous, and on-topic. Avoid emojis and unspecific language that can be interpreted in multiple ways
  - d) Choosing not to engage with Social Media is an acceptable strategy. Be prepared to inform Athletes (and/or parents/guardians) why you will not engage in this space and explain which media you will use to communicate with them
  - e) Athletes will search for your Social Media accounts. Be prepared for how you will respond when an Athlete attempts to interact with you on Social Media

- f) Annually review and update the privacy settings on all your Social Media accounts
- g) Consider monitoring or being generally aware of Athletes' public Social Media behaviour to ensure compliance with *Code of Conduct and Ethics* and this Policy
- h) Never demand access to an Athlete's private posts on Twitter, Instagram, or Facebook
- i) Do not send friend requests to Athletes. Never pressure Athletes to send you a friend request or follow your Social Media accounts
- j) If you accept a friend request from one Athlete, you should accept these requests from all Athletes. Be careful not to show favouritism on Social Media
- k) Consider managing your Social Media so that Athletes do not have the option to follow you on Twitter or send you a friend request on Facebook
- Do not identify Minor Athletes on publicly available Social Media
- m) Seek permission from adult Athletes before identifying them on publicly available Social Media
- n) Avoid adding Athletes to Snapchat and do not send snapchats to Athletes
- o) Do not post pictures or videos of Minor Athletes on your private Social Media accounts
- p) Be aware that you may acquire information about an Athlete that imposes an obligation of disclosure on your part (such as seeing pictures of Minor Athletes drinking during a trip)
- q) If selection decisions and other official team business are announced on Social Media, ensure they are also posted on a less-social medium like a website or distributed via email
- r) Never require Athletes to join Facebook, join a Facebook group, subscribe to a Twitter feed, or join a Facebook page about your team or organization
- s) If you create a page on Facebook or Instagram for your team or Athlete, do not make this Social Media site the exclusive location for important information. Duplicate important information in less-social channels (like on a website or via email)
- t) Exercise appropriate discretion when using Social Media for your own personal communications (with friends, colleagues, and other Persons in Authority) with the knowledge that your behaviour may be used as a model by Athletes
- u) Avoid association with Facebook groups, Instagram accounts, or Twitter feeds with explicit sexual conduct or viewpoints that might offend or compromise your relationship with an Athlete
- v) Never misrepresent yourself by using a fake name or fake profile

### **Social Media Guidelines for Athletes**

- 15. AWA offer the following practices for consideration to Athletes to inform their own strategy for Social Media use:
  - a) Set your privacy settings to restrict who can search for you and what private information other people can see.
  - b) Coaches, teammates, officials, or opposing competitors may all add you to Facebook or follow you on Instagram or Twitter. You are not required to follow anyone or be Facebook friends with anyone.
  - c) Avoid adding Persons in Authority to Snapchat and do not send snapchats to Persons in Authority.
  - d) If you feel harassed by someone in a social medium, report it to your coach or another Person in Authority with your organization.
  - e) You do not have to join a fan page on Facebook or follow a Twitter feed or Instagram account.
  - f) Content posted on a social medium, relative to your privacy settings, is considered public. In most cases, you do not have a reasonable expectation of privacy for any material that you post.
  - g) Content posted to a social medium is almost always permanent consider that other individuals may take screencaps of your content (even snapchats) before you can delete them.
  - h) Avoid posting pictures of, or alluding to, participation in illegal activity such as: speeding, physical assault, harassment, drinking alcohol (if underage), and smoking marijuana (if underage).
  - i) Model appropriate behaviour in Social Media befitting your status as a) an Athlete, and b) a member of your organization and its governing organizations. As a representative of your organization, you have agreed to the *Code of Conduct and Ethics* and must follow that Code when you post material and interact with other people through Social Media.
  - j) Be aware that your public Facebook page, Instagram account, or Twitter feed may be monitored by your organization, coach, or by another organization and content or behaviour demonstrated in Social Media may be subject to sanction under the *Discipline and Complaints Policy*.

# **Screening Policy**

#### **Preamble**

Alberta Weightlifting Association ("AWA") understands that screening personnel and volunteers is a vital
part of providing a safe sporting environment and has become a common practice among sport
organizations that provide programs and services to the sport community.

# **Application of this Policy**

- 2. This Policy applies to all individuals whose position with AWA is one of trust or authority which may relate to, at a minimum, finances, supervision, or Vulnerable Organizational Participants.
- 3. Not all individuals associated with AWA will be required to obtain a criminal record check or submit screening documents because not all positions pose a risk of harm to AWA or Organizational Participants. AWA will determine which individuals will be subject to screening using the following guidelines (AWA may vary the guidelines at their discretion):

<u>Level 1 – Low Risk</u> - Organizational Participants involved in low-risk assignments who are not in a supervisory role, not directing others, not involved with finances, and/or do not have unsupervised access to Vulnerable Organizational Participants. Examples include parents, youth, or volunteers who are helping out on a non-regular or informal basis. Screening of these Organizational Participants will be done on an as needed basis.

<u>Level 2 – Medium Risk</u> – Organizational Participants involved in medium risk assignments who may be in a supervisory role, may direct others, may be involved with finances, and/or who may have limited access to Vulnerable Organizational Participants. Examples include:

- a) Athlete support personnel
- b) Non-coach employees or managers
- c) Directors
- d) Coaches who are typically under the supervision of another coach
- e) Officials

<u>Level 3 – High Risk</u> – Organizational Participants involved in high-risk assignments who occupy positions of trust and/or authority, have a supervisory role, direct others, are involved with finances, and who have frequent or unsupervised access to Vulnerable Organizational Participants. Examples:

- a) Athlete Support Personnel
- b) Full time coaches
- c) Athlete Support Personnel who travel with Athletes

d) Athlete Support Personnel who could be alone with Athletes

# **Screening Committee**

- 4. The implementation of this policy is the responsibility of the Screening Committee which is a committee composed of either one (1) or three (3) members. AWA will ensure that the members appointed to the Screening Committee possess the requisite skills, knowledge, and abilities to accurately screen documents and render decisions under this Policy.
- 5. The Screening Committee is responsible for reviewing all documents submitted and based on the review, making decisions regarding the appropriateness of individuals filling positions within AWA. In carrying out its duties, the Screening Committee may consult with independent experts including lawyers, police, risk management consultants, volunteer screening specialists, or any other person.
- 6. Nothing in this Policy restricts or limits the Screening Committee from requesting that the individual attend an interview with the Screening Committee if the Screening Committee considers that an interview is appropriate and necessary to screen the individual's application.
- 7. Nothing in this Policy restricts or limits the Screening Committee from requesting the individual's authorization to contact any professional, sporting, or other organization to assess the individual's suitability for the position that they are seeking.
- 8. Nothing in this Policy restricts or limits the Screening Committee from requesting further information from the individual on more than one occasion, subject to the individual's right to insist that the Screening Committee decide based on the information before it.
- 9. The Screening Committee may, where appropriate, draw an adverse inference from an individual's failure to provide information or answer queries.
- 10. When assessing an individual's screening application, the Screening Committee shall determine whether there is reason to believe that the individual may pose a risk to AWA or to another individual.
- 11. An individual having been previously penalized for a prior offence shall not prevent the Screening Committee from considering that offence as part of the individual's screening application.
- 12. If the Screening Committee determines based on the individual's screening application, in addition to any further material received by it, that the individual does not pose a risk to the members of AWA, the Screening Committee shall approve the individual's application, subject to the Screening Committee's right to impose conditions.
- 13. In the case of a decision denying an application or approving an application with conditions, a copy of the decision shall be provided to the applicant and to the Board of Directors of AWA, which may disseminate the decision as they see fit to best fulfil the mandate of AWA.
- 14. An Organizational Participant whose screening application has been denied or revoked may not re-apply to participate in the programs or activities of AWA for two (2) years from the date the rejected application was made.

### **Screening Requirements**

- 15. A Screening Requirements Matrix is provided as **Appendix A**.
- 16. It is the policy of AWA that when an individual is first engaged by the organization:
  - a) If requested by AWA, Level 1 individuals will:
    - i. Complete an Application Form (Appendix B)
    - ii. Complete a Screening Disclosure Form (Appendix C)
    - iii. Participate in training, orientation, and monitoring as described in the Screening Requirements Matrix (**Appendix A**)
  - b) Level 2 individuals will:
    - i. Complete an Application Form
    - ii. Complete a Screening Disclosure Form
    - iii. Complete and provide an E-PIC
    - iv. Provide one letter of reference related to the position
    - v. Participate in training, orientation, and monitoring as described in the Screening Requirements Matrix (**Appendix A**)
    - vi. Provide a driver's abstract, if requested
  - c) Level 3 individuals will:
    - i. Complete an Application Form
    - ii. Complete a Screening Disclosure Form
    - iii. Complete and provide an E-PIC and a VSC
    - iv. Provide one letter of reference related to the position
    - v. Participate in training, orientation, and monitoring as described in the Screening Requirements Matrix (**Appendix A**)
  - d) Provide a driver's abstract, if requested. If an individual subsequently receives a charge, conviction for, or is found guilty of, an offense they will report this circumstance immediately to AWA. Additionally, the individual will inform AWA of any changes in their circumstance that would alter their original responses in their Screening Disclosure Form.

e) If AWA learns that an individual has provided false, inaccurate, or misleading information, the individual will immediately be removed from their position and may be subject to further discipline in accordance with the *Discipline and Complaints Policy*.

### **Minors**

- 17. For the purposes of this Policy, AWA defines a Minor as someone who is younger than 18 years of age. When screening Minors, AWA will:
  - a) Not require the Minor to obtain a VSC or E-PIC; and
  - b) In lieu of obtaining a VSC or E-PIC, require the Minor to submit up to two (2) additional letters of reference.
- 18. Notwithstanding the above, AWA may ask a Minor to obtain a VSC or E-PIC if AWA suspects the young person has an adult conviction and therefore has a criminal record. In these circumstances, AWA will be clear in its request that it is not asking for the Minor's *youth record*. AWA understands that they may not request to see a Minor's youth record.

### Renewal

- 19. Unless the Screening Committee determines, on a case-by-case basis, to modify the submission requirements, individuals who are required to submit an E-PIC, Screening Disclosure Form, or Screening Renewal Form, are required to submit the documents as follows:
  - a) An E-PIC every three years
  - b) A Screening Disclosure Form every three years
  - c) A Screening Renewal Form (Appendix D) every year
  - d) A Vulnerable Sector Check once
- 20. At any time, including after either the submission of an individual's application or its approval (with or without conditions), the Screening Committee may reopen an individual's file for additional screening if it is advised of new information that, in the discretion of AWA, could affect the assessment of the individual's suitability for participation in the programs or activities of AWA, or the individual's interactions with other individuals involved with AWA.

# Orientation, Training, and Monitoring

- 21. The type and amount of orientation, training, and monitoring will be based on the individual's level of risk, at the discretion of AWA.
- 22. Orientation may include, but is not limited to: introductory presentations, facility tours, equipment demonstrations, parent/Athlete meetings, meetings with colleagues and supervisors, orientation manuals, orientation sessions, and increased supervision during initial tasks or initial period of engagement.

- 23. Training may include, but is not limited to: certification courses, online learning, mentoring, workshop sessions, webinars, on-site demonstrations, and peer feedback.
- 24. At the conclusion of orientation and training, the individual may be required to acknowledge, in written form, that they have received and completed the orientation and training (**Appendix E**).
- 25. Monitoring may include but is not limited to written or oral reports, observations, tracking, electronic surveillance (e.g., facility security cameras), and site visits.

### How to Obtain an E-PIC or VSC

- 26. An E-PIC may be obtained online via http://www.backcheck.net/e-pic.htm
- 27. Organizational Participants may only obtain a VSC by visiting an RCMP office or police station, submitting two pieces of government-issued identification (one of which must have a photo), and completing any required paperwork. Fees may also be required.
- 28. Fingerprinting may be required if there is a positive match with the individual's gender and birth date.
- 29. AWA understands that it may be required to assist an individual with obtaining a VSC. A Request for VSC (**Appendix F**) may need to be submitted or other documentation may need to be completed that describes the nature of the organization and the individual's role with Vulnerable Organizational Participants.

### **Procedure**

- 30. Screening documents must be submitted to the Screening Committee.
- 31. An individual who refuses or fails to provide the necessary screening documents will be ineligible to volunteer or apply for the position sought. The individual will be informed that their application and/or position will not proceed until such time as the screening documents are submitted.
- 32. AWA understands that there may be delays in receiving the results of an E-PIC or a VSC. At the discretion of AWA, an individual may be permitted to participate in the role during the delay. This permission may be withdrawn at any time and for any reason.
- 33. AWA recognizes that different information will be available depending on the type of screening document that the individual has submitted. For example, an E-PIC may show details of a specific offense, or not, and/or a VSC may be returned with specific information or simply a notification indicating 'cleared' or 'not cleared'. The Screening Committee will use its expertise and discretion when making decisions based on the screening documents that have been submitted.
- 34. Following the review of the screening documents, the Screening Committee will decide:
  - a) The individual has passed screening and may participate in the desired position;
  - b) The individual has passed screening and may participate in the desired position with conditions;
  - c) The individual has not passed screening and may not participate in the desired position; or

- d) More information is required from the individual.
- 35. In making its decision, the Screening Committee will consider the type of offense, date of offense, and relevance of the offense to the position sought.
- 36. The Screening Committee may decide that an individual has not passed screening if the screening documentation reveals any of the following:
  - a) If imposed within the last three years:
    - i. Any offense involving the use of a motor vehicle, including but not limited to impaired driving
    - ii. Any offense of assault, physical or psychological violence
    - iii. Any offense involving trafficking or possession of illegal drugs
    - iv. Any offense involving conduct against public morals
    - v. Any offense involving theft or fraud
  - b) If imposed at any time:
    - i. Any offense involving a Minor or Minors
    - ii. Any offense involving the possession, distribution, or sale of any child-related pornography
    - iii. Any sexual offense

### **Conditions and Monitoring**

37. Excluding the incidents above which, if revealed, would cause the individual to not pass screening, the Screening Committee may determine that incidents revealed on an individual's screening documents may allow the individual to pass the screening process and participate in a desired position with *conditions* imposed. The Screening Committee shall have the sole and unfettered discretion to apply and remove conditions, determine the length of time for the imposition of conditions, and determine how adherence to conditions may be monitored.

### **Records**

- 38. All records will be maintained in a confidential manner and will not be disclosed to others except as required by law, for use in legal, quasi-legal, or disciplinary proceedings.
- 39. The records kept as part of the screening process include but are not limited to:
  - a) An individual's Vulnerable Sector Check
  - b) An individual's E-PIC (for a period of three years)
  - c) An individual's Screening Disclosure Form (for a period of three years)

- d) An individual's Screening Renewal Form (for a period of one year)
- e) Records of any conditions attached to an individual's registration by the Screening Committee
- f) Records of any discipline applied to any individual by AWA or by another sport organization

# Appendix A – Screening Requirements Matrix

Risk Level	Roles (Note Minors Exception Below)	Training Recommended/Required	Screening
Level 1 Low Risk	a) Parents, youth or volunteers acting in non-regular or informal basis  ONLY REQUIRED IF REQUESTED BY AWA	Recommended:  • Respect in Sport for Activity Leaders  • CAC Safe Sport Training	<ul> <li>Complete an Application Form (Appendix B)</li> <li>Complete a Screening Disclosure Form (Appendix C)</li> <li>Participate in training, orientation, and monitoring as determined by the organization</li> </ul>
Level 2 Medium Risk	<ul> <li>a) Athlete support personnel</li> <li>b) Non-coach employees or managers</li> <li>c) Directors</li> <li>d) Coaches who are typically under supervision of another coach.</li> <li>e) Officials</li> </ul>	Recommended based on role:  Respect in Sport for Activity Leaders  Commit to Kids  Required:  Respect in Sport Activity Leaders (National Officials)  MED Certified (Coaches)  CAC Safe Sport Training	<ul> <li>Level 1 Requirements</li> <li>Complete and provide an E-PIC</li> <li>Provide one letter of reference related to the position, if requested</li> <li>Provide a driver's abstract, if requested</li> </ul>
Level 3 High Risk	<ul><li>a) Full Time Coaches</li><li>b) Coaches who travel with Athletes</li><li>c) Coaches who could be alone with Minor Athletes</li></ul>	Recommended based on role:  Respect in Sport for Activity Leaders Commit to Kids	<ul> <li>Level 2 Requirements</li> <li>Provide a VSC</li> <li>A second letter of reference from a sport organization</li> </ul>

Required:	
MED Certified	
<ul> <li><u>CAC Safe Sport</u></li> <li><u>Training</u></li> </ul>	

# Minors

For the purposes of this Policy, AWA defines a young person as someone who is younger than 18 years of age. When screening Minors, AWA will:

- a) Not require the young person to obtain a VSC or E-PIC; and
- b) In lieu of obtaining a VSC or E-PIC, may require the young person to submit up to two (2) additional letters of reference.

# Appendix B - Application Form

Note: Organizational Participants who are applying to volunteer or work within certain positions with AWA must complete this Application Form. Organizational Participants need to complete an Application Form once for the position sought. If the individual is applying for a new position within AWA, a new Application Form must be submitted.

NAME:				_
First	Middle		Last	
CURRENT PERMAN	ENT ADDRESS:			
Street	City	Province	Postal	
DATE OF BIRTH:		GENDER IDENTITY:		
Moi	nth/Day/Year			
EMAIL:		PHONE:		
POSITION SOUGHT	:			
limited to the <i>Code</i>		Conflict of Interest Policy, Pri	rocedures of AWA, including but not vacy Policy, and Screening Policy.	
_	•		on the position sought, as outlined ir my eligibility to volunteer or work in	
NAME (print):		DATE:		
SIGNATURE:				

# <u>Appendix C – Screening Disclosure Form</u>

NAME:				
First	Middl	le	Last	
OTHER NAMES YOU HA	AVE USED:			
CURRENT PERMANEN	Γ ADDRESS:			
Street	City	Province	Postal	
DATE OF BIRTH:		GENDER IDENT	ITY:	
	Month/Day/\	Year		
CLUB (if applicable):		EMAIL:		
Note: Failure to disclos volunteer responsibiliti	• •	on below may be considered an i	ntentional omission a	nd the loss of
1. Have you been conviction. Attach add		e? If so, please complete the fol cessary.	llowing information fo	or each
Name or Type of Offen	se:			
Name and Jurisdiction	of Court/Tribunal: _			
Year Convicted:				
Penalty or Punishment	Imposed:			
Further Explanation:				
(e.g., private tribunal,	government agency	sanctioned by a sport governing y, etc.) or dismissed from a coac n for each disciplinary action or	hing or volunteer pos	ition? If so,
Name of disciplining or	sanctioning body: _			
Date of discipline, sand	tion, or dismissal: _			

Reasons for discipline, sanction, or dismissal:
Penalty or Punishment Imposed:
Further Explanation:
3. Are criminal charges or any other sanctions, including those from a sport body, private tribunal or government agency, currently pending or threatened against you? If so, please complete the following information for each pending charge or sanction. Attach additional pages as necessary.
Name or Type of Offense:
Name and Jurisdiction of Court/Tribunal:
Name of disciplining or sanctioning body:
Further Explanation:
PRIVACY STATEMENT
By completing and submitting this Screening Disclosure Form, I consent and authorize AWA to collect, use and disclose my personal information, including all information provided on the Screening Disclosure Form as well as my Enhanced Police Information Check and/or Vulnerable Sector Check (when permitted by law) for the purposes of screening, implementation of the <i>Screening Policy</i> , administering membership services, and communicating with National Sport Organizations, Provincial/Territorial Sport Organizations, Club Members or School Members, and other organizations involved in the governance of sport. AWA does not distribute personal information for commercial purposes.
CERTIFICATION
I hereby certify that the information contained in this Screening Disclosure Form is accurate, correct, truthful and complete.
I further certify that I will immediately inform AWA of any changes in circumstances that would alter my original responses to this Screening Disclosure Form. Failure to do so may result in the withdrawal of volunteer responsibilities or other privileges and/or disciplinary action.
NAME (print): DATE:
SIGNATURE:

# Appendix D - Screening Renewal Form

NAME:			
First	Middl	e	Last
CURRENT PERMA	NENT ADDRESS:		
Street	City	Province	Postal
DATE OF BIRTH: _		GENDER IDENTITY	:
Mo	onth/Day/Year		
EMAIL:		PHONE:	
Form and/or Drive charges and warra non-conviction information of the large that any Pedifferent than the changes, or if I suspersonal Document I recognize that if submit this form in	er's Abstract ("Personal Dints, judicial orders, peace ormation, and there have ersonal Document that I was last Personal Document is pect that there have been to AWA's Screening Coutent to the have been change mproperly, then I am sulpersonal Document is a sulpersonal personal Document in the theorem is a sulpersonal Document in the	ocument") to AWA. I further e bonds, probation or prohile been no absolute and cond would obtain or submit on the that I submitted to AWA. I use in any changes, it is my responsible mmittee instead of this form	ditional discharges.  ne date indicated below would be no inderstand that if there have been any consibility to obtain and submit a new in.  om any Personal Document and if I and/or the removal of volunteer
NAME (print):		DATE:	
SIGNATURE:		_	

# <u>Appendix E - Volunteer Orientation and Training Acknowledgement Form</u>

Na	me	Signature	Date	
			Date Completed:	
Na	me of Training or Orientation	on:		
Ins	tructor:	C	Date Completed:	
Na	me of Training or Orientation	on:		
			Date Completed:	
Na	me of Training or Orientation	on:		
2.	As an individual affiliated vand training:	with AWA, I acknow	ledge I have received completed the following orientation	
	Athlete	Official	Committee Member	
	Parent / Guardian	Coach	Director / Volunteer	
1. I have the following role(s) with AWA (circle as many as apply):				

# <u>Appendix F – Request For Vulnerable Sector Check</u>

Note: AWA must modify this letter to adhere to any requirements from the VSC provider

INTRODUCTION				
Alberta Weightlifting Associa individual's full name] who id [insert birtle	dentifies as a			
DESCRIPTION OF ORGANIZA	TION			
AWA is a not-for-profit provir	ncial organization for th	ne sport of Weightlifting	g in Alberta.	
[Insert additional description	1]			
DESCRIPTION OF ROLE				
[insert indivioual, the individual will have		cting as a ndividuals.	[insert individual's	role]. In this
[Insert additional information	n re: type and number	of vulnerable individua	ils, frequency of acces	ss, etc.]
CONTACT INFORMATION				
If more information is require	ed, please contact AW/	۹:		
[Insert contact information]				
Signed:	Nate:			

### **Anti-Doping Policy**

### **Purpose**

1. The purpose of this policy is to confirm that AWA has adopted the 2021 CADP as its primary domestic anti-doping policy.

# **Scope and Authority**

- 2. This policy applied to all Organizational Participants.
- 3. In the event of a conflict between this Policy and the 2021 CADP, the 2021 CADP shall prevail.

### **Commitment, Adoption and Cooperation**

- 4. AWA is committed to clean sport in Canada and endorses the 2021 CADP and the WADC.
- 5. AWA has adopted and agrees to abide by the CADP as it may be amended from time to time.
- 6. AWA is unequivocally opposed to the practice of doping in sport on ethical, medical, and legal grounds.
- 7. AWA shall cooperate with the CCES's investigations regarding potential anti-doping rule violations.

# **Education and Training**

- 8. Anti-doping links and resources are provided as **Appendix A**.
- AWA will ensure that every athlete and other person participating in the sport who is subject to the CADP by way of AWA's adoption of the CADP knows that they are subject to the anti-doping rules contained in the CADP and are appropriately informed.

## **Sanctions and Reciprocity**

- 10. AWA will comply with the CADP with respect to public announcements of positive test results.
- 11. AWA will respect any penalty enacted pursuant to the breach of the CADP whether imposed by WADA or the CCES.
- 12. AWA will respect the sanctions applied to an Organizational Participant due to an anti-doping rule violation, whether imposed by WADA, the CCES, or any national or provincial sport organization.
- 13. All Organizational Participants sanctioned for an anti-doping rule violation will be ineligible to participate in any role with AWA or in any competition or activity organized, convened, held, or sanctioned by AWA as per the penalties imposed.

# <u>Appendix A – Anti-Doping Links and Resources</u>

# Anti-Doping and Values-Based Sport Information:

• CCES website: www.cces.ca

True Sport website: www.truesport.ca

• CCES E-Learning: contact the CCES for additional information

CCES Advisory Notes and Media Releases: www.cces.ca/subscribe

### Substance Information:

Global DRO: www.globaldro.com

• Contacting the CCES: 1-800-672-7775 or substances@cces.ca

# Therapeutic Use Exemptions (TUEs):

• CCES Medical Exemption Wizard: www.cces.ca/mewizard

Contacting the CCES: 1-800-672-7775 or tue-aut@cces.ca

# Report Doping:

Report Doping Hotline: 1-800-710-CCES or www.cces.ca/reportdoping

**Note:** Various printed resources are available.

Contact the CCES for more information (education@cces.ca or 1-800-672-7775).

# **Event Discipline Procedure**

\*\* This Event Discipline Procedure does not supersede or replace the Discipline and Complaints Policy \*\*

### Purpose

1. Alberta Weightlifting Association ("AWA") is committed to providing a competition environment in which all Organizational Participants are treated with respect. This Procedure outlines how alleged misconduct during an Event will be handled.

# Scope and Application of this Procedure

- 2. This Procedure will be applied to all Events sanctioned by AWA.
- 3. If the Event is being sanctioned by an organization other than AWA (e.g., by the Canadian Weightlifting Federation or by an international federation), the procedures for event discipline of the host organization will replace this procedure. Incidents involving Organizational Participants connected with AWA (such as Athletes, coaches, and Directors and Officers) must still be reported by the head coach or team representative to AWA to be addressed under the *Discipline and Complaints Policy*, if necessary.
- 4. This Procedure does not replace or supersede the *Discipline and Complaints Policy*. Instead, this Procedure works in concert with the *Discipline and Complaints Policy* by outlining, for a designated person with authority at an event sanctioned by AWA, the procedure for taking immediate, informal, or corrective action in the event of a possible violation of the *Code of Conduct and Ethics*.

### **Misconduct During Events**

- 5. Incidents that violate or potentially violate the *Code of Conduct and Ethics,* which can occur during a competition, away from the area of competition, or between parties connected to the Event, shall be reported by the head coach or team representative to a designated person (usually the chief official) responsible at the Event.
- 6. The designated person at the Event shall use the following procedure to address the incident that violated or potentially violated the *Code of Conduct and Ethics*:
  - a) Notify the involved parties that there has been an incident that violated or potentially violated the Code of Conduct and Ethics
  - b) Convene a jury of either one person or three people (one of whom shall be designated the Chairperson), who shall not be in a conflict of interest or involved in the original incident, to determine whether the Code of Conduct and Ethics has been violated. The designated person at the Event may serve on the jury
  - c) The jury will interview and secure statements from any witnesses to the alleged violation
  - d) If the violation occurred during a competition, interviews will be held with the officials who officiated or observed the competition and with the coaches and captains of each team when necessary and appropriate

- e) The jury will secure a statement from the person(s) accused of the violation
- f) The jury will render a decision and determine a possible penalty
- g) The Chairperson of the jury will inform all parties of the jury's decision
- 7. The penalty determined by the jury may include any of the following, singularly or in combination:
  - a) Oral or written warning
  - b) Oral or written reprimand
  - c) Suspension from future competitions at the Event
  - d) Ejection from the Event
  - e) Other appropriate penalty as determined by the jury
- 8. The jury does not have the authority to determine a penalty that exceeds the duration of the Event. A full written report of the incident and the jury's decision shall be submitted to AWA by the Chair of the jury following the conclusion of the Event.
- 9. Further discipline may then be applied in accordance with the *Discipline and Complaints Policy*, if necessary.
- 10. Decisions made pursuant to this Policy may not be appealed.
- 11. This Policy does not prohibit other Organizational Participants from reporting the same incident to AWA to be addressed as a formal complaint under the *Discipline and Complaints Policy*.
- 12. AWA shall record and maintain records of all reported incidents.

### **Timelines**

- 13. The procedures outlined in this Procedure are Event-specific and therefore shall be exercised and implemented as soon as it is reasonable to do so. The final decision of the jury must be reached and communicated to the Parties prior to the conclusion of the event for it to be effective.
- 14. Decisions issued by the jury after the conclusion of the event will not be enforceable.

# **Diversity, Equity, and Inclusion Policy**

**POLICY STATEMENT:** Alberta Weightlifting Association ("AWA") is committed to encouraging equity in its administration, policies, programs, and activities.

## **Purpose**

- 1. AWA is committed to encouraging diversity, equity and inclusion in its administration, policies, programs, and activities.
- 2. The purpose of this Policy is to ensure that AWA provides Under-Represented Groups with a full and equitable range of opportunities to participate and lead.

### General

### 3. AWA will:

- a) Provide this Policy to staff and Directors and provide education on the importance of diversity, equity, and inclusion and what this entails in terms of practices, policies, procedures, and norms of behaviour
- b) Provide registration forms and other documents that allow:
  - i. Organizational Participants to indicate their gender identity and expression, rather than their sex or gender;
  - ii. Organizational Participants to abstain from indicating a gender identity with no consequence to the individual;
  - iii. Organizational Participants to indicate their pronoun(s); and
  - iv. Organizational Participants to indicate their preferred name
- c) Maintain organizational documents and AWA's website in a manner that promotes inclusive language and images
- d) Refer to Organizational Participants by their preferred name and their pronoun(s)
- e) Work with Under-Represented Groups on the implementation, monitoring and/or modification of this Policy
- f) When AWA has the authority to determine Organizational Participants' use of washrooms, change rooms, and other facilities, AWA will permit Organizational Participants to use the facilities of their gender identity
- g) Ensure uniforms and dress codes that respect an Organizational Participants' gender identity and gender expression

- h) Support inclusion, equity, and access for Under-Represented Groups
- i) Exercise influence with external agencies to encourage equity

# **Programming**

- 4. AWA is committed to creating and supporting programs that address diversity, equity, and inclusion issues in sport. For example, AWA will:
  - a) Ensure that the achievement of equitable opportunities is a key consideration when developing, updating, or delivering AWA's programs and policies
  - b) Ensure that individuals from Under-Represented Groups have no barriers to participation in AWA's programs, training, and coaching opportunities
  - c) Create and support new programming that specifically addresses diversity, equity, and inclusion
  - d) Monitor and evaluate the success of its diversity, equity, and inclusion programming
  - e) Fund programs and services equitably
  - f) Encourage Under-Represented Groups to act as role models for young participants
  - g) Create special opportunities to advance the number and levels of women in coaching
  - h) When planning educational sessions, consider a balance of presenters from all gender identities

### Staff, Board of Directors, Committee

## 5. AWA will:

- a) Strive to achieve gender balance in the appointment of all committees, task forces and other decision-making or decision-influencing bodies, and in seeking nominations for and appointments to the Board
- b) Include gender equity as a stated value that is accepted and promoted on nominating and selection committees
- c) Ensure equal opportunities exist for all staff to receive professional development to move towards senior levels of decision-making
- d) Develop, update, and deliver all policies, programs and services ensuring the concerns and needs of Under-Represented Groups are identified, promoted, and supported
- e) Deal with any incidence of discriminatory behaviour according to AWA's *Code of Conduct and Ethics* Policy.

#### **Media Relations**

#### 6. AWA will:

- a) Strive to ensure that Under-Represented Groups are portrayed equitably in promotional materials and official publications, and that gender-neutral language is used in all communications
- b) Produce all written and visual materials in a gender-inclusive manner
- c) Develop a communication plan that strives to give media visibility to Under-Represented Groups
- d) Use gender-appropriate or gender-neutral language and positive, active visuals in all publications, graphics, videos, posters and on websites

## **Human Resource Management**

- 7. As part of its commitment to the use of equitable human resource management practices, AWA will:
  - a) Adopt, when possible, work practices such as flex-time, job-sharing, and home-based offices
  - b) Provide a physically accessible workplace environment
  - c) Ensure a non-smoking environment
  - d) Use non-discriminatory interview techniques
  - e) Provide opportunities for all staff to advance to senior decision-making levels and receive equitable remuneration
  - f) Publicly declare AWA to be an equal opportunity employer and respect and implement the principle of pay equity in relation to salaried and contract employees
  - g) When appropriate, make available access to Employee Assistance counselling

## **Ongoing Commitment to Inclusion, Diversity and Equity**

8. AWA resolves to continue to incorporate inclusion, diversity, and equity matters in its strategies, plans, actions, and operations; including technical programs, business management, sponsorship, marketing, media, and communications.

### **Evaluation**

9. AWA will continually monitor and evaluate its inclusion, equity, and diversity progress.